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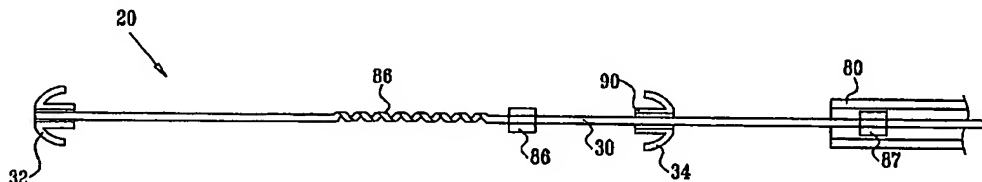
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(54) Title: MITRAL VALVE TREATMENT TECHNIQUES



(57) Abstract: Apparatus (20) is provided for treating mitral valve regurgitation, including a band (30) having distal and proximal ends, the band (30) adapted to be placed: around between 90 and 270 degrees of a mitral valve (58), including around at least a portion of a posterior cusp (56) of the valve (58), in a space defined by (a) a ventricular wall (70), (b) a ventricular surface of the posterior cusp (56) in a vicinity of an annulus (60) of the mitral valve (58), and (c) a plurality of third-order chordae tendineae (74). The apparatus (20) further includes distal and proximal coupling elements (32, 34), coupled to the band (30) at the distal and proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae tendineae (74), and a first-order chorda tendinea that inserts on a commissural cusp (78) of the mitral valve (58). Additional embodiments are also described.

## MITRAL VALVE TREATMENT TECHNIQUES

### **CROSS-REFERENCES TO RELATED APPLICATIONS**

The present application claims the benefit of: (a) US Provisional Patent Application 60/662,616 to Gross et al., filed March 17, 2005, and (b) US Provisional Patent Application 60/700,542 to Gross et al., filed July 18, 2005. Both of these applications are assigned to the assignee of the present application and are incorporated herein by reference.

### **FIELD OF THE INVENTION**

The present invention relates generally to surgical methods and apparatus, and specifically to methods and apparatus for mitral valve treatment.

### **BACKGROUND OF THE INVENTION**

Mitral valve regurgitation is a disorder in which the mitral valve does not close properly. During systole, a healthy mitral valve prevents oxygenated blood from flowing back (regurgitating) from the left ventricle to the left atrium. Regurgitation caused by a defective mitral valve reduces cardiac output, increasing the risk of progressive heart failure. Treatments for mitral valve regurgitation include valve replacement and strengthening the valve annulus by implanting a mechanical support ring or other structure (generally called valve annuloplasty).

The chordae tendineae are commonly classified according to their insertion sites on the mitral cusps. The first-order (also called primary or marginal) chordae insert on the cusp free edges, the second-order (also called "strut") chordae insert on the ventricular surface of the cusps, usually near the junction between the rough and smooth zones, and the third-order (also called tertiary or basal) chordae originate directly from the trabeculae carneae of the left ventricular wall, and attach to the cusps near the annulus of the mitral valve.

US Patent Application Publication 2005/0010287 to Macoviak et al., which is incorporated herein by reference, describes techniques for supplementing, repairing, or replacing a native heart valve. The techniques employ an implant that is adapted to extend adjacent a valve annulus. The implant includes a mobile neoleaflet element that

occupies the space of at least a portion of one native valve leaflet. The implant mimics the one-way valve function of a native leaflet, to resist or prevent retrograde flow. The implant restores normal coaptation of the leaflets to resist retrograde flow, thereby resisting eversion and/or prolapse, which, in turn, reduces regurgitation.

5 US Patent Application Publication 2004/0193191 to Starksen et al., which is incorporated herein by reference, describes methods for treating a cardiac valve annulus, comprising contacting an anchor delivery device with the valve annulus and releasing a plurality of coupled anchors from the anchor delivery device to secure the anchors to the annulus. Anchors, which in some embodiments are super-elastic or shape memory self-  
10 securing anchors, are then drawn together to tighten the annulus. The publication also describes devices including an elongate catheter having a housing at or near the distal end for releasably housing a plurality of coupled anchors. The housing may be flexible, may conform to a valve annulus, and in some embodiments may be coupled with an expandable member to enhance contact of the housing with annular tissue. In one  
15 embodiment, self-securing anchors lie approximately flat within the delivery device housing, allowing anchors with relatively large deployed shapes to be housed in and deployed from a relatively narrow delivery device.

US Patent Application Publication 2004/0148020 to Vidlund et al., which is incorporated herein by reference, describes techniques for improving the function of a  
20 valve (e.g., a mitral valve) by positioning an implantable device outside and adjacent the heart wall such that the device alters the shape of the heart wall acting on the valve. The implantable device may alter the shape of the heart wall acting on the valve by applying an inward force and/or by circumferential shortening (cinching). The shape change of the heart wall acting on the valve is sufficient to change the function of the valve, and may  
25 increase coaptation of the leaflets, for example, to reduce regurgitation.

US Patent Application Publication 2004/0148019 to Vidlund et al., which is incorporated herein by reference, describes techniques for improving the function of a valve (e.g., a mitral valve) by positioning a spacing filling device outside and adjacent the heart wall such that the device applies an inward force against the heart wall acting on the  
30 valve. A substantially equal and opposite force may be provided by securing the device to the heart wall, and/or a substantially equal and opposite outward force may be applied against anatomical structure outside the heart wall. The inward force is sufficient to

change the function of the valve, and may increase coaptation of the leaflets, for example. The space filling device may be implanted by a surgical approach, a transthoracic approach, or a transluminal approach, for example. The space filling portion may be delivered utilizing a delivery catheter navigated via the selected approach, and the space  
5 filling portion may be expandable between a smaller delivery configuration and a larger deployed configuration.

US Patent Application Publication 2004/0138745 to Macoviak et al., which is incorporated herein by reference, describes medical devices for improving heart valve function, including leaflet retainers, a neo-annulus, neo-leaflet, and a framework.

10 US Patent Application Publication 2004/0127983 to Mortier et al., which is incorporated herein by reference, describes a device for heart valve repair including at least one tension member having a first end and second end. A basal anchor is disposed at the first end of the tension member and a secondary anchor at the second end. The publication describes a method including the steps of anchoring the basal anchor  
15 proximate a heart valve and anchoring the secondary anchor at a location spaced from the valve such that the chamber geometry is altered to reduce heart wall tension and/or stress on the valve leaflets.

US Patent 6,629,534 and US Patent Application Publication 2004/0039442 to St. Goar et al., which are incorporated herein by reference, describe techniques for  
20 performing endovascular repair of atrioventricular and other cardiac valves in the heart. Regurgitation of an atrioventricular valve, particularly a mitral valve, is repaired by modifying a tissue structure selected from the valve leaflets, the valve annulus, the valve chordae, and the papillary muscles. These structures are modified by suturing, stapling, snaring, or shortening, using interventional tools which are introduced to a heart chamber.

25 US Patent Application Publication 2003/0199974 to Lee et al., which is incorporated herein by reference, describes an annuloplasty system for repairing a heart valve, comprising a surgical implant including a member having first and second end portions. The implant member further is configured and/or adapted to form a partial ring along a portion of one of the valve annulae of a patient's heart such as the mitral or  
30 tricuspid valve annulus. The implant member is axially elastic such that it can axially expand and contract and includes first and second anchors extending from the end portions of the implant member. The anchors are adapted to anchor the implant in tissue

such as the mitral or tricuspid valve annulus. The system is described as facilitating tissue plication (e.g., of the posterior annulus of the mitral valve or the annulus of the tricuspid valve) and reinforcement of a valve annulus.

5 US Patent Application Publication 2003/0191528 to Quijano et al., which is incorporated herein by reference, describes an expandable annular ring for implantation in a valvular annulus and its percutaneous use. The ring comprises a plurality of stenting elements made of a first shape-memory material having a first shape-transition temperature, wherein the first shape-memory material expands to a preshape when the first shape-memory material is heated to above the first shape-transition temperature; and  
10 a plurality of anchoring elements made of a second shape-memory material having a second shape-transition temperature that is higher than the first shape-transition temperature, wherein the second shape-memory material expands to the second preshape when the second shape-memory material is heated to above the second shape-transition temperature.

15 US Patent Application Publications 2004/0260393 to Rahdert et al. and 2004/0127982 to Machold et al., which are incorporated herein by reference, describe techniques using an implant that is sized and configured to attach in, on, or near the annulus of a dysfunctional heart valve. In use, the implant extends either across the minor axis of the annulus, or across the major axis of the annulus, or both. The implant is  
20 described as restoring to the heart valve annulus and leaflets a more functional anatomic shape and tension. The more functional anatomic shape and tension are conducive to coaptation of the leaflets, which, in turn, reduces retrograde flow or regurgitation.

US Patent Application Publication 2004/0260394 to Douk et al., which is incorporated herein by reference, describes a cardiac valve annulus compressor  
25 comprising a generally cylindrical main body having plain and barbed ends and an actuator portion. Barbs disposed on the barbed end are engageable with the valve annulus. The length of the circumference of the barbed end is responsive to movement of the actuator portion. The annulus compressor can be delivered percutaneously or surgically.

30 US Patent Application Publication 2004/0236419 to Milo, which is incorporated herein by reference, describes methods for reconfiguring an atrioventricular heart valve that use systems comprising a partial or complete annuloplasty rings proportioned to

reconfigure a heart valve that has become in some way incompetent, a pair of trigonal sutures or implantable anchors, and a plurality of staples which may have pairs of legs that are sized and shaped for association with the ring at spaced locations along its length. These systems are described as permitting relative axial movement between the staples and the ring, whereby a patient's heart valve can be reconfigured in a manner that does not deter subtle shifting of the native valve components. Shape-memory alloy material staples may have legs with free ends that interlock following implantation. Annuloplasty rings may be complete or partial and may be fenestrated. One alternative method routes a flexible wire, preferably of shape-memory material, through the bights of pre-implanted staples. Other alternative systems use linkers of shape-memory material having hooked ends to interengage with staples or other implanted supports which, following implantation, decrease in effective length and pull the staples or other supports toward one another so as to create desired curvature of the reconfigured valve. These linkers may be separate from the supports or may be integral with them and may have a variety of shapes and forms. Various of these systems may be implanted non-invasively using a delivery catheter.

US Patent Application Publication 2004/0243227 to Starksen et al., which is incorporated herein by reference, describes techniques for facilitating positioning of a cardiac valve annulus treatment device. The publication describes methods including advancing an anchor delivery device through vasculature of the patient to a location in the heart for treating the valve annulus, contacting the anchor delivery device with a length of the valve annulus, delivering a plurality of coupled anchors from the anchor delivery device to secure the anchors to the annulus, and drawing the anchors together to circumferentially tighten the valve annulus. Devices generally include an elongate catheter having at least one tensioning member and at least one tensioning actuator for deforming a distal portion of the catheter to help it conform to a valve annulus. The catheter device may be used to navigate a subannular space below a mitral valve to facilitate positioning of an anchor delivery device.

Odell JA et al., in an article entitled "Early Results of a Simplified Method of Mitral Valve Annuloplasty," *Circulation* 92:150-154 (1995), which is incorporated herein by reference, studied the outcome of three different annuloplasty techniques: commissural annuloplasty, complete ring annuloplasty, and an unmeasured, posterior, partial ring annuloplasty. They concluded that postoperative valve function obtained by unmeasured

posterior annuloplasty, as assessed by degree of regurgitation, transvalvular gradient, and valve area, was similar to that obtained by measured, complete ring annuloplasty and superior to that found in patients having commissural annuloplasty.

US Patent Application Publication 2003/0078465 to Pai et al., which is  
5 incorporated herein by reference, describes techniques for treating degenerative, congestive heart disease and related valvular dysfunction. Percutaneous and minimally invasive surgical tensioning structures are implantable within various major coronary blood-carrying conduit structures (arteries, veins and branching vessels), into or through myocardium, or into engagement with other anatomic structures that impact cardiac  
10 output to provide tensile support to the heart muscle wall which resists diastolic filling pressure while simultaneously providing a compressive force to the muscle wall to limit, compensate or provide therapeutic treatment for congestive heart failure and/or to reverse the remodeling that produces an enlarged heart. In some embodiments, the tensioning structures are used to apply tension to papillary muscles and/or chordae tendineae to  
15 reposition the valve leaflets to reduce/eliminate regurgitation, to limit the motion of the leaflets to improve/restore the function of cardiac valves; and to directly reposition the valve leaflets to prevent prolapse or other abnormalities of the leaflets and to prevent associated deficiencies.

US Patent Application Publication 2003/0018358 to Saadat, which is incorporated  
20 herein by reference, describes techniques for thermally and/or mechanically treating tissue, such as valvular structures, to reconfigure or shrink the tissue in a controlled manner. Mechanical clips are implanted over the leaflets of a valve, e.g., in the heart, either alone or after thermal treatment to cause the valve to close more tightly. The clips are delivered by a catheter and may be configured to traverse directly over the valve itself  
25 or to lie partially over the periphery of the valve to prevent obstruction of the valve channel. Alternatively, individual anchors with a tensioning element, like a suture, are described as being useful for approximating the valves towards each other.

US Patent 6,626,899 to Houser et al., which is incorporated herein by reference, describes techniques for thermally and/or mechanically treating tissue, such as valvular  
30 structures, to reconfigure or shrink the tissue in a controlled manner. The apparatus comprises a catheter in communication with an end effector which induces a temperature rise in an annulus of tissue surrounding the leaflets of a valve or in the chordae tendineae

sufficient to cause shrinkage, thereby causing the valves to close more tightly. Mechanical clips can also be implanted over the valve either alone or after the thermal treatment. The clips are delivered by a catheter and may be configured to traverse directly over the valve itself or to lie partially over the periphery of the valve to prevent  
5 obstruction of the valve channel.

US Patent Application Publication 2005/0222678 to Lashinski et al., which is incorporated herein by reference, describes methods and devices for applying pressure to an adjacent tissue structure, such as the annulus of the mitral valve. An adjustable implant is described with an elongate control line having a distal end connected to the  
10 implant and a proximal end spaced apart from the implant. The device enables post implantation adjustment, by accessing the proximal end of the control line and manipulating the control line to adjust the implant.

US Patent Application Publication 2005/0096740 to Langberg et al., which is incorporated herein by reference, describes a mitral annuloplasty and left ventricle  
15 restriction device adapted to be transvenously advanced and deployed within the coronary sinus and, in some embodiments, other coronary veins. The device places tension on adjacent structures, reducing the diameter and/or limiting expansion of the mitral annulus and/or limiting diastolic expansion of the left ventricle. These effects may be beneficial for patients with dilated cardiomyopathy.

## 20 SUMMARY OF THE INVENTION

In some embodiments of the present invention, a mitral valve treatment device comprises a band, a distal coupling element, and a proximal coupling element. The device is adapted to be placed around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by  
25 (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the mitral valve, and (c) a plurality of third-order chordae tendineae. The proximal and distal coupling elements are adapted to be coupled to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae  
30 tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve. Such coupling typically tightens the annulus and thereby treats mitral valve regurgitation. Alternatively or additionally, the space is defined by a plurality of second-



order chordae tendineae, and/or the distal and/or proximal end of the band is adapted to be coupled to a second-order chorda tendinea.

In some embodiments of the present invention, a mitral valve treatment device comprises an inflatable band. The band is adapted to be placed around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the mitral valve, and (c) a plurality of third-order chordae tendineae. After placement, the band is inflated and sealed, causing the band to press against the posterior cusp, the chordae tendineae, and the ventricular wall, thereby applying pressure to and supporting the posterior cusp. For some applications, inflation of the band additionally holds the band in place. For some applications, the band comprises neither a distal nor a proximal coupling element, or comprises only a single coupling element.

In some embodiments of the present invention, a method for treating mitral valve regurgitation comprises inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the mitral valve, and (c) a plurality of third-order chordae tendineae. The method further comprises coupling a distal end and a proximal end of the band to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve. Such coupling typically tightens the annulus and thereby treats mitral valve regurgitation. Alternatively or additionally, the space is defined by a plurality of second-order chordae tendineae, and/or the distal and/or proximal end of the band is coupled to a second-order chorda tendinea.

In some embodiments of the present invention, apparatus for treating a mitral valve comprises an outward force applicator adapted to be placed around between 90 and 270 degrees of a mitral valve of a heart. The force applicator is configured such that at least two regions thereof (typically end regions) apply force to a wall of a heart chamber in a vicinity of the commissural cusps of the mitral valve. Such outwardly-applied force draws the commissural cusps away from one another, stretching the mitral valve and

thereby bringing the posterior and anterior cusps of the valve closer to one another. The device is thus useful for treating mitral valve regurgitation.

5 In some embodiments of the present invention, the force applicator is adapted to be placed in a space defined by (a) a ventricular wall, (b) a ventricular surface of at least one of the mitral valve cusps in a vicinity of an annulus of the mitral valve, and (c) a plurality of third-order chordae tendineae. Alternatively or additionally, the space is defined by a plurality of second-order chordae tendineae. Further alternatively, the force applicator is adapted to be placed in a left atrium of the heart, in contact with or in a vicinity of the mitral valve.

10 In some embodiments of the present invention, the force applicator comprises one or more coupling elements, which are adapted to hold the force applicator in place after placement. For some applications, the coupling elements comprise one or more protrusions, oriented on the force applicator such that the protrusions contact and grip the wall of the heart. Typically, the protrusions are positioned in a vicinity of the ends of the force applicator, and/or along the length of the force applicator, such as in a vicinity of a middle of the force applicator. For other applications, the coupling elements are adapted to be coupled to the mitral valve, and/or to one or more chordae tendineae, such as third-order chordae tendineae, or second-order chordae tendineae. For these applications, the coupling elements may comprise, for example, hooks, sutures, or staples.

20 There is therefore provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including:

a band having distal and proximal ends, the band adapted to be placed:

around between 90 and 270 degrees of a mitral valve, including  
around at least a portion of a posterior cusp of the valve,

25 in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the mitral valve, and (c) a plurality of third-order chordae tendineae; and

distal and proximal coupling elements, coupled to the band at the distal and proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae

30

tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

In an embodiment, each of the first and second chordae tendineae includes one of the plurality of third-order chordae tendineae, and the distal and proximal coupling  
5 elements are adapted to be coupled to the respective ones of the plurality of third-order chordae tendineae.

In an embodiment, the distal and proximal coupling elements are adapted to be coupled to the first and second chordae tendineae such that the band tightens the annulus of the valve. Alternatively or additionally, the distal and proximal coupling elements are  
10 adapted to be coupled to the first and second chordae tendineae such that the band applies pressure to the posterior cusp. For some applications, the distal and proximal coupling elements are adapted to be coupled to the first and second chordae tendineae such that the band applies substantially no pressure to an anterior cusp of the valve. For some applications, the distal and proximal coupling elements are adapted to be coupled to the  
15 first and second chordae tendineae such that the band does not squeeze an anterior cusp of the valve and the posterior cusp together.

For some applications, the distal and proximal coupling elements include respective hooks, adapted to be hooked to the first and second chordae tendineae, respectively.

20 For some applications, the band is generally elliptical in cross-section. Alternatively, the band is flat.

For some applications, the band includes at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor. For some applications, the band includes a steering mechanism.

25 For some applications, the band includes a shape memory alloy, and the shape memory alloy causes the band to assume a curved shape during placement of the band.

For some applications, the band includes a tension element, adapted to shorten a length of the band. For some applications, at least a portion of the band includes an elastic material, adapted to shorten a length of the band.

30 For some applications, the band is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating.

For some applications, the band is adapted to be placed around no portion of an anterior cusp of the valve. For some applications, the band is adapted to be placed such that a length of a first portion of the band that surrounds the at least a portion of the posterior cusp is at least 5 times a total length of that portion of the band that surrounds at  
5 least a portion of an anterior cusp of the valve.

For some applications, the band includes a distal stop, and the distal coupling element is adapted to slide along the band until blocked by the distal stop.

For some applications, the band includes a plurality of bands, the bands adapted to be placed in series around between 90 and 270 degrees of the mitral valve.

10 For some applications, the apparatus includes an elongated insertion element, adapted to releasably engage the proximal end of the band.

In an embodiment, the proximal coupling element is adapted to slide along the band and subsequently be locked to the band. For some applications, a portion of the band in a vicinity of the proximal end is shaped so as to define a first set of teeth, an  
15 interior surface of the proximal coupling element is shaped so as to define a second set of teeth, and the teeth are configured to allow distal advancement of the proximal coupling element along the band, and to not allow proximal retraction of the proximal coupling element along the band.

For some applications, the apparatus includes a crimping mechanism, adapted to  
20 be placed around the proximal coupling element and to crimp the proximal coupling element to the band.

For some applications, a portion of the band in a vicinity of the proximal end is shaped so as to define a first set of teeth, an interior surface of the proximal coupling element is shaped so as to define a second set of teeth, and the crimping element is  
25 adapted to crimp the proximal coupling element to the band by crimping at least a portion of the second set of teeth to at least a portion of the first set of teeth. For some applications, the crimping mechanism includes an inflation element, adapted, upon inflation thereof, to crimp the proximal coupling element to the band.

For some applications, the band is shaped so as to define one or more indentations  
30 therein, and the proximal coupling element includes a tension clip, adapted to engage at least one of the indentations, so as to lock the proximal coupling element to the band.

For some applications, the band is adapted to be placed around between 120 and 240 degrees of the mitral valve, such as between 150 and 210 degrees of the mitral valve.

There is also provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including:

- 5 a band having distal and proximal ends, the band adapted to be placed:  
around between 90 and 270 degrees of a mitral valve, including  
around at least a portion of a posterior cusp of the valve,  
in a space defined by (a) a ventricular wall, (b) a ventricular  
surface of the posterior cusp in a vicinity of an annulus of the mitral valve,  
10 and (c) a plurality of second-order chordae tendineae; and  
distal and proximal coupling elements, coupled to the band at the distal and  
proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea  
and a second chorda tendinea, respectively, each of the first and second chordae tendineae  
selected from the group consisting of: one of the plurality of second-order chordae  
15 tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the  
mitral valve.

There is further provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including a band adapted to be placed:

- 20 around between 90 and 270 degrees of a mitral valve of a heart,  
including around at least a portion of a posterior cusp of the valve,  
in a space defined by (a) a ventricular wall, (b) a ventricular  
surface of the posterior cusp in a vicinity of an annulus of the mitral valve,  
and (c) a plurality of third-order chordae tendineae,  
25 the band configured such that inflation thereof applies pressure to the posterior  
cusp.

In an embodiment, the band is configured such that inflation thereof holds the band in place.

- For some applications, the apparatus includes a liquid for inflating the band, the  
30 liquid adapted to at least partially solidify after inflation of the band therewith.

In an embodiment, the band is not configured to be coupled to any portion of the heart, other than by the inflation thereof.

There is still further provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including a band adapted to be  
5 placed:

around between 90 and 270 degrees of a mitral valve, including  
around at least a portion of a posterior cusp of the valve,  
in a space defined by (a) a ventricular wall, (b) a ventricular  
surface of the posterior cusp in a vicinity of an annulus of the mitral valve,  
10 and (c) a plurality of second-order chordae tendineae,  
the band configured such that inflation thereof applies pressure to the posterior  
cusp.

In an embodiment, the band is configured such that inflation thereof holds the band in place.

15 There is yet further provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including:

a stent, adapted to be placed while in a contracted state around between 90 and 270 degrees of a mitral valve of a heart, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of  
20 the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

an inflation element, positioned within the stent, and adapted to expand the stent upon inflation, such that the stent applies pressure to the posterior cusp.

In an embodiment, the stent includes at least one coupling element, adapted to be  
25 coupled to a chorda tendinea.

For some applications, the stent includes a plurality of stents, adapted to be placed in series around between 90 and 270 degrees of the mitral valve.

There is additionally provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including:

30 inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a

ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

coupling a distal end and a proximal end of the band to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae  
5 selected from the group consisting of: one of the plurality of third-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

For some applications, inserting includes inserting the band via an ascending aorta.

10 For some applications, the band includes at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor, and inserting includes inserting the band at least in part responsively to a signal generated by the sensor.

For some applications, the band includes a distal stop and a distal coupling element, and coupling the distal end of the band to the first chorda tendinea includes:

15 advancing the band until the distal stop reaches a vicinity of the first chorda tendinea;

advancing the distal coupling element over the band until the distal coupling element reaches the distal stop; and

coupling the distal coupling element to the first chorda tendinea.

20 In an embodiment, the band includes a proximal coupling element, and coupling includes:

coupling the distal end of the band to the first chorda tendinea;

thereafter, sliding the proximal coupling element along the band until the band has a desired length between the distal end and the proximal coupling element; and

25 locking the proximal coupling element to the band.

For some applications, coupling includes, after locking the proximal coupling element, clipping a portion of the band proximal to the proximal coupling element. For some applications, coupling includes, after locking the proximal coupling element, releasing the band from an elongated insertion element, and withdrawing the insertion  
30 element from the heart.

For some applications, a portion of the band in a vicinity of the proximal end includes a first set of teeth, an interior surface of the proximal coupling element includes a second set of teeth, the teeth are configured to allow distal advancement of the proximal coupling element along the band, and to not allow proximal retraction of the proximal coupling element along the band, and locking the proximal coupling element includes advancing the proximal coupling element until the band has the desired length.

There is yet additionally provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including:

inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae; and

coupling a distal end and a proximal end of the band to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of second-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

There is still additionally provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including:

inserting a band around between 90 and 270 degrees of a mitral valve of a heart, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and applying pressure to the posterior cusp by inflating the band.

There is also provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including:

inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve; and (c) a plurality of second-order chordae tendineae; and applying pressure to the posterior cusp by inflating the band.



There is further provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including:

5 inserting a stent in a contracted state around between 90 and 270 degrees of a mitral valve of a heart, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

applying pressure to the posterior cusp by expanding the stent by inflating an inflation element positioned within the stent.

10 There is still further provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including a force applicator adapted to be placed around at least a portion of a mitral valve of a heart, and configured such that at least two regions of the force applicator apply, to a wall of a chamber of the heart in a vicinity of commissural cusps of the mitral valve, force sufficient to bring a  
15 posterior cusp and an anterior cusp of the mitral valve closer to one another than a distance therebetween in the absence of the force applicator.

In an embodiment, the applicator is adapted to be placed in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae. Alternatively, in an  
20 embodiment, the applicator is adapted to be placed in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae.

In an embodiment, the applicator is adapted to be placed in a left atrium of the heart, in contact with or in a vicinity of the mitral valve.

25 For some applications, the applicator is generally elliptical in cross-section. Alternatively, the applicator is flat.

For some applications, the applicator is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating.

For some applications, the applicator is adapted to be placed around no portion of  
30 the anterior cusp. For some applications, the applicator is adapted to be placed such that a length of a first portion of the applicator that surrounds at least a portion of the posterior

cuspid is at least 5 times a total length of that portion of the applicator that surrounds at least a portion of the anterior cusp.

For some applications, the applicator is configured to enhance fibrosis between at least a portion of the applicator and the wall of the chamber.

- 5        For some applications, the applicator includes at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor. For some applications, the applicator includes a steering mechanism.

- For some applications, the applicator has distal and proximal ends, and the applicator includes an adjustment mechanism, which is configured to change a distance  
10       between the distal end and the proximal end of the applicator.

For some applications, the applicator is shaped so as to define one or more protrusions, oriented such that the protrusions contact the wall of the chamber of the heart upon placement of the applicator.

- In an embodiment, the applicator includes one or more coupling elements, adapted  
15       to hold the applicator in place around the at least a portion of the valve. For some applications, the coupling elements are adapted to be coupled to the valve. Alternatively, the coupling elements are adapted to be coupled to respective chordae tendineae.

- In an embodiment, the applicator is adapted to be placed around between 90 and  
20       270 degrees of the mitral valve, such as around between 120 and 240 degrees of the mitral valve, e.g., around between 150 and 210 degrees of the mitral valve.

- In an embodiment, the applicator is configured such that after placement of the applicator, and before the at least two regions apply the force, there is a gap between the applicator and the wall of the chamber. For some applications, the applicator is configured such that the force applied by the at least two regions reduces a distance  
25       between the applicator and the wall of the chamber in a vicinity of the gap. For some applications, the applicator is configured such that the force applied by the at least two regions immediately reduces the distance. Alternatively, the applicator is configured such that the force applied by the at least two regions reduces the distance within one month of application of the force.

- 30       In an embodiment, the at least two regions include two end regions of the force applicator, and the force applicator is configured such that at least the two end regions

apply the force. For some applications, the applicator includes at least one screw in a vicinity of at least one of the end regions, the screw configured such that rotation thereof adjust a degree of pushing of the at least one end region into the wall of the chamber.

5 There is additionally provided, in accordance with an embodiment of the present invention, apparatus for treating mitral valve regurgitation, including a force applicator adapted to be placed around at least a portion of a mitral valve of a heart, and configured to:

apply force to a wall of a chamber of the heart, so as to change a shape of the heart around the mitral valve, and

10 enhance fibrosis between at least a portion of the force applicator and the heart wall, so as to help maintain the changed shape of the heart.

For some applications, a surface of the at least a portion of the applicator is roughened to enhance fibrosis. Alternatively or additionally, the at least a portion of the applicator is coated with a fibrosis-enhancing substance.

15 There is yet additionally provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including placing, around at least a portion of a mitral valve of a heart, a force applicator configured such that at least two regions of the force applicator apply, to a wall of a chamber of the heart in a vicinity of commissural cusps of the mitral valve, force sufficient to bring a posterior  
20 cusp and an anterior cusp of the mitral valve closer to one another than a distance therebetween in the absence of the force applicator.

For some applications, the applicator includes at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor, and placing includes placing the applicator at least in part responsively to a signal generated by the  
25 sensor.

There is also provided, in accordance with an embodiment of the present invention, a method for treating mitral valve regurgitation, including placing, around at least a portion of a mitral valve of a heart, a force applicator configured to apply force to a wall of a chamber of the heart, so as to change a shape of the heart around the mitral  
30 valve, and to enhance fibrosis between at least a portion of the force applicator and the heart wall, so as to help maintain the changed shape of the heart.

The present invention will be more fully understood from the following detailed description of embodiments thereof, taken together with the drawings, in which:

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic illustration of a mitral valve treatment device, in accordance  
5 with an embodiment of the present invention;

Fig. 2 is a schematic illustration of the device of Fig. 1 placed in a heart, in  
accordance with an embodiment of the present invention;

Fig. 3 is a schematic illustration of a portion of the device of Fig. 1 placed around  
a portion of a posterior cusp of a mitral valve, in accordance with an embodiment of the  
10 present invention; and

Fig. 4 is a schematic illustration of a procedure for introducing the device of Fig. 1  
into the heart, in accordance with an embodiment of the present invention;

Fig. 5 is a schematic illustration of a distal end of a catheter for introducing the  
device of Fig. 1 into the heart, in accordance with an embodiment of the present  
15 invention;

Figs. 6A-B are schematic illustrations of a mitral valve before and after placement  
of the device of Fig. 1, respectively, in accordance with an embodiment of the present  
invention;

Figs. 7A-B are schematic illustrations of the device of Fig. 1 during systole and  
20 diastole, respectively, in accordance with an embodiment of the present invention;

Figs. 8A-B are schematic illustrations of an over-the-wire implementation of the  
device of Fig. 1, in accordance with an embodiment of the present invention;

Figs. 9A-B are schematic illustrations of a sliding and locking mechanism of the  
device of Fig. 1, in accordance with an embodiment of the present invention;

25 Figs. 10A-C are schematic illustrations of another sliding and locking mechanism  
of the device of Fig. 1, in accordance with an embodiment of the present invention;

Figs. 11A-B are schematic illustrations of yet another sliding and locking  
mechanism of the device of Fig. 1, in accordance with an embodiment of the present  
invention;

Figs. 12A-B are schematic illustrations of a band clipping mechanism, in accordance with an embodiment of the present invention;

Figs. 13A-B are schematic illustrations of a band release mechanism, in accordance with an embodiment of the present invention;

5 Figs. 14A-B are schematic illustrations of an inflation mechanism, in accordance with an embodiment of the present invention;

Figs. 15A-B are schematic illustrations of another inflation technique, in accordance with an embodiment of the present invention;

10 Fig. 16 is a schematic illustration of a configuration of the device of Fig. 1, in accordance with an embodiment of the present invention;

Figs. 17A-B are schematic illustrations of a stent adapted for treatment of a mitral valve, in accordance with an embodiment of the present invention;

Fig. 18 is a schematic illustration of a mitral valve treatment force applicator placed in a heart, in accordance with an embodiment of the present invention;

15 Figs. 19A and 19B are schematic illustrations of a mitral valve before and after placement of the force applicator of Fig. 18, respectively, in accordance with an embodiment of the present invention;

20 Fig. 20 is a schematic illustration of a portion of the force applicator of Fig. 18 placed around a portion of a posterior cusp of the mitral valve, in accordance with an embodiment of the present invention;

Figs. 21A and 21B are schematic illustrations of the mitral valve before and after expansion of the force applicator of Fig. 18, respectively, in accordance with an embodiment of the present invention;

25 Figs. 22 and 23 are schematic illustrations of respective configurations of the force applicator of Fig. 18, in accordance with respective embodiments of the present invention;

Fig. 24 is a schematic illustration of a procedure for introducing the force applicator of Fig. 18 into the heart of a subject, in accordance with an embodiment of the present invention;

Fig. 25 is a schematic illustration of a distal end of a catheter for introducing the force applicator of Fig. 18 into the heart, in accordance with an embodiment of the present invention; and

5 Figs. 26 and 27 are schematic illustrations of respective adjustment mechanisms for the force applicator of Fig. 18, in accordance with respective embodiments of the present invention.

### DETAILED DESCRIPTION OF EMBODIMENTS

Fig. 1 is a schematic illustration of a mitral valve treatment device 20, in accordance with an embodiment of the present invention. Device 20 typically comprises  
10 a flexible band 30, a distal coupling element 32 (e.g., a hook), and a proximal coupling element 34 (e.g., a hook). For some applications, band 30 is generally elliptical in cross-section (e.g., circular), while for other applications the band is flat, e.g., ribbon-shaped. Band 30 typically comprises a biocompatible material, such as a polymer or metal. For some applications, band 30 is coated with a drug and/or a radiopaque coating.

15 Reference is made to Fig. 2, which is a schematic illustration of band 30 placed in a heart 50, which is viewed from above the heart with the atria removed, in accordance with an embodiment of the present invention. Band 30 is adapted to be placed around between 90 and 270 degrees of a mitral valve 58 of a heart 50, including around at least a portion of a posterior cusp 56 of mitral valve 58, in a vicinity of an annulus 60 of mitral  
20 valve 58. For some applications, band 30 is adapted to be placed around between 120 and 240 degrees, such as between 150 and 210 degrees, e.g., about 180 degrees, of the mitral valve. For some applications, band 30 is adapted to be placed substantially only around all or a portion of posterior cusp 56, i.e., substantially not around any portion of an anterior cusp 59 of mitral valve 58. For example, the length of band 30 surrounding  
25 posterior cusp 56 is typically at least about 5 or at least about 10 times the length of any portion of band 30 that may be surrounding anterior cusp 59.

For some applications, band 30 is anchored in place by distal coupling element 32 and proximal coupling element 34, such that the band applies pressure to posterior cusp 56, thereby tightening annulus 60, supporting the posterior cusp, and bringing the  
30 posterior cusp closer to the anterior cusp. For applications in which a portion of band 30 is placed around a portion of anterior cusp 59, band 30 typically applies force

substantially only to posterior cusp 56. Furthermore, band 30 is typically configured to apply pressure to posterior cusp 56, rather than to squeeze the posterior and anterior cusps together.

Reference is made to Fig. 3, which is a schematic illustration of a portion of band 30 placed around a portion of posterior cusp 56, in accordance with an embodiment of the present invention. Typically, band 30 is placed in the space defined by a ventricular wall 70 of left ventricle 72, a ventricular surface of posterior cusp 56 in a vicinity of annulus 60, and third-order chordae tendineae 74 (also called tertiary or basal chordae). (Third-order chordae 74, of which only two of many are shown in the figure for clarity of illustration, originate directly from the trabeculae carneae of ventricular wall 70, and attach to posterior cusp 56 in a vicinity of annulus 60.) Alternatively or additionally, the space is defined by second-order chordae tendineae (not shown in the figure). Typically, distal and proximal coupling elements 32 and 34 are coupled to first and second chordae tendineae, respectively, each of which is selected from the group consisting of: one of third-order chordae 74, a first-order chorda tendinea that inserts on a commissural cusp 78 of mitral valve 58 (shown in Fig. 2), and a second-order chorda tendinea (not shown in the figures). Typically, when in place, band 30 is in contact with one or more third- or second-order chordae tendineae, and/or the ventricular surface of posterior cup 56 in the vicinity of annulus 60.

Alternatively or additionally, band 30 is placed around first-order chordae tendineae 80 that insert on posterior cusp 56, anterior cusp 59, and/or commissural cusps 78 (configuration not shown in the figures). Further alternatively or additionally, band 30 is woven between the first-, second-, and/or third-order chordae.

Reference is made to Fig. 4, which is a schematic illustration of a procedure for introducing device 20 into heart 50 of a subject, in accordance with an embodiment of the present invention. Typically, device 20 is introduced into ventricle 72 by a catheter 81, which is typically introduced into ventricle 72 via an ascending aorta 82. A surgeon typically guides device 20 to a desired location using images of heart 50 captured using techniques known in the art. For some applications, device 20 comprises, e.g., at a distal end thereof, one or more wireless position sensors, such as those manufactured by Biosense, Inc. (New Brunswick, NJ), or otherwise known in the art. Alternatively or additionally, device 20 comprises, e.g., at a distal end thereof, one or more sensors for

aiding navigation, such as an ultrasound sensor, an infrared sensor, or an optical sensor. For some applications, device 20 comprises a steering mechanism, such as those known in the art of coronary catheter navigation. For some applications, band 30 comprises a shape memory alloy, such as nitinol, which causes the band to assume a curved shape, thereby  
5 assisting in navigating the band around the chordae tendineae. For some applications, the surgeon magnetically navigates band 30, such as using techniques described in US Patents 6,817,364 or 6,522,909 to Garibaldi et al., or US Patent 6,475,223 to Werp et al., which are incorporated herein by reference, *mutatis mutandis*.

Reference is made to Fig. 5, which is a schematic illustration of a distal end 84 of  
10 catheter 81, in accordance with an embodiment of the present invention. In this embodiment, the distal end of catheter 81 opens laterally, rather than at its end, so as to guide device 20 towards mitral valve 58 after the catheter is inserted into left ventricle 72.

Reference is made to Figs. 6A-B, which are schematic illustrations of mitral valve 58 before and after placement of band 30, respectively, in accordance with an  
15 embodiment of the present invention. Fig. 6A shows mitral valve regurgitation occurring prior to the placement of band 30. Fig. 6A also shows band 30 as it is being placed around posterior cusp 56. Fig. 6B shows band 30 after it has been anchored in place around posterior cusp 56, thereby preventing regurgitation.

Reference is again made to Fig. 1. In an embodiment of the present invention,  
20 band 30 is adapted to tense, so as to shorten its length. For some applications, band 30 comprises a tension element 88, such as a spring. Alternatively or additionally, all or a portion of band 30 comprises an elastic material. For some applications, the tension serves to increase the force applied by band 30 to posterior cusp 56 after the band has been coupled to the chordae tendineae.

Reference is now made to Figs. 7A-B, which are schematic illustrations of band  
25 30 during systole and diastole, respectively, in accordance with an embodiment of the present invention. In this embodiment, band 30 comprises tension element 88, and/or band 30 comprises an elastic material. As shown in Fig. 7A, during systole tension element 88 contracts, increasing the pressure applied by band 30 to posterior cusp 56.  
30 During diastole, as shown in Fig. 7B, tension element 88 expands, reducing the pressure applied by band 30 to posterior cusp 56, and allowing mitral valve 58 to open.



Reference is now made to Figs. 8A-B, which are schematic illustrations of an over-the-wire implementation of device 20, in accordance with an embodiment of the present invention. In this embodiment, band 30 comprises a distal stop 100, which, for example, may be spherical. Distal coupling element 32 is adapted to slide distally along  
5 band 30 until blocked by distal stop 100. During placement, band 30 is advanced until distal stop 100 reaches a vicinity of the chorda tendinea to which the distal end of the band will be coupled. Distal coupling element 32 is then advanced over band 30 until it reaches the distal end of the band. For example, the surgeon may advance catheter 81 over band 30 in order to push distal coupling element 32 to the distal end of the band.  
10 The surgeon then couples the coupling element to the chorda tendinea. Typically, the coupling of distal coupling element 32 to the chorda tendinea prevents subsequent proximal sliding of the coupling element. Alternatively, a locking mechanism is provided to hold coupling element 32 in place once it reaches distal stop 100. The use of distal stop 100 and the techniques described in this paragraph typically enable easier advancement of  
15 band 30 than in embodiments in which distal coupling element 32 is fixed to the distal end of band 30 during advancement.

Reference is again made to Fig. 1. In an embodiment of the present invention, proximal coupling element 34 comprises a sliding and locking mechanism 90. Sliding and locking mechanism 90 is configured to have a locked position and an unlocked  
20 position. When in the unlocked position, the mechanism is able to slide along band 30, and when in the locked position, the mechanism is fixed to the band. Typically, during placement of device 20 a surgeon couples distal coupling element 32 to a chorda tendinea at a desired location, and slides proximal coupling element 34 along band 30 so that band 30 has a desired length between the two coupling elements. The surgeon then locks  
25 mechanism 90, and clips the portion of band 30 proximal to proximal coupling element 34. For some applications, the surgeon locks and/or clips the excess portion before coupling proximal coupling element to a chorda tendinea, while for other applications the surgeon locks and/or clips the excess portion after coupling proximal coupling element to a chorda tendinea. Alternatively, rather than being clipped, band 30 is released from a  
30 release mechanism, as described hereinbelow with reference to Figs. 13A-B.

Typically, the tension of band 30 is determined by the selected length of band 30 and the locations at which coupling elements 32 and 34 are coupled to the chordae. For some applications, the surgeon adjusts the tension of band 30 based on (a) tactile

feedback, (b) a force measurement, and/or (c) another measurement, such as an electrocardiographic measurement, and/or a blood flow measurement made in the heart or elsewhere in the body. For some applications, band 30 comprises at least one tension or pressure sensor 86, which is adapted to measure the tension of band 30. Alternatively or  
5 additionally, device 20 comprises a tension control system 87 proximal to proximal coupling element 34.

Reference is made to Figs. 9A-B, which are schematic illustrations of another sliding and locking mechanism 120 of band 30, in accordance with an embodiment of the present invention. Mechanism 120 comprises two interlocking sets of teeth. A first set of  
10 teeth 130 is coupled to or integrated into a proximal portion of band 30, and a second set of teeth 132 is coupled to or integrated into an interior surface of proximal coupling element 34. The teeth are configured to allow distal advancement of coupling element 34 over band 30, but to not allow proximal retraction of the coupling element. The surgeon distally advances coupling element 34 over band 30 so that band 30 has a desired length  
15 between the two coupling elements.

Reference is made to Figs. 10A-C, which are schematic illustrations of yet another sliding and locking mechanism 140 of band 30, in accordance with an embodiment of the present invention. Mechanism 140 comprises two interlocking sets of teeth. A first set of  
20 teeth 150 is coupled to or integrated into a proximal portion of band 30, and a second set of teeth 152 is coupled to or integrated into an interior surface of proximal coupling element 34. When the coupling element is in an unlocked position, the first and second sets of teeth are not engaged with one another, such that the coupling element is able to advance distally over band 30, as shown in Fig. 10A. Catheter 81 comprises a crimping mechanism 153 in a vicinity of a distal end of the catheter. Crimping mechanism 153  
25 typically comprises an expansion element 154, such as a balloon, which is coupled to an external source 156 of fluid (liquid or gas) pressure, via a pressure tube 158. Crimping mechanism 153 is adapted to be placed around the portion of proximal coupling element 34 having second set of teeth 152, as shown in Fig. 10A. Crimping mechanism 153 is configured such that expansion of expansion element 154 pushes second set of teeth 152  
30 into contact with first set of teeth 150, crimping the first and second sets of teeth together, as shown in Fig. 10B. Such crimping locks coupling element 34 in place on band 30. After crimping, catheter 81 and crimping mechanism 153 are retracted, leaving coupling element 34 in place, as shown in Fig. 10C.

Reference is made to Figs. 11A-B, which are schematic illustrations of still another sliding and locking mechanism 170, in accordance with an embodiment of the present invention. Mechanism 170 comprises a tension clip 172 that releasably engages one or more indentations 174 in band 30. Tension clip 172 is configured such that in its resting position, coupling portions 176 of the clip engage respective indentations 174, preventing movement of the clip, as shown in Fig. 11A. Applying force to release sites 178 of clip 172 changes the shape of the clip, causing coupling portions 176 to disengage from indentations 174, as shown in Fig. 11B. For some applications, release sites 178 also serve as coupling elements for coupling band 30 to the chordae tendineae, while for other applications, clip 172 comprises separate coupling elements (latter configuration not shown). In an embodiment, catheter 81 comprises one or more force application elements 180, which are configured to apply force to release sites 178, in order to disengage clip 172 from band 30. For some applications, force application elements 180 are inflatable, and are configured such that inflation thereof applies force to release sites 178.

Reference is made to Figs. 12A-B, which are schematic illustrations of a band clipping mechanism 200, in accordance with an embodiment of the present invention. Clipping mechanism 200 is used to clip the portion of band 30 proximal to proximal coupling element 34, typically after the proximal coupling element has been locked in place. Clipping mechanism 200 comprises clippers 210, which are adapted to be introduced through catheter 81 over the proximal portion of band 30. Clippers 210 clip the band, and the clippers and the proximal portion of the band are withdrawn through catheter 81, leaving the distal portion of band 30 in place in the heart, as shown in Fig. 12B.

Reference is made to Figs. 13A-B, which are schematic illustrations of a band release mechanism 220, in accordance with an embodiment of the present invention. The release mechanism is used to release band 30 from an insertion element 224, which is subsequently withdrawn through catheter 81. Therefore, in this embodiment, there is generally no need to clip the band. Release mechanism comprises a grasping element 226, which is adapted to releasably engage the proximal end of band 30. For some applications, the proximal end of band 30 comprises or is shaped to as to define a protrusion 228, such as a spherical protrusion, which grasping element 226 engages. Alternatively, release mechanism 220 comprises another coupling element, such as a screw, which releasably engages the proximal end of band 30.

In an embodiment of the present invention, proximal coupling element 34 does not comprise sliding and locking mechanism 90 or any other length-adjusting mechanism, such that the length of band 30 is fixed. In this embodiment, the surgeon may or may not invasively or non-invasively measure or estimate the circumference of annulus 60 prior to choosing which length of band 30 to implant. For some applications of this embodiment, band 30 comprises band release mechanism 220, described hereinabove with reference to Figs. 13A-B.

In an embodiment of the present invention, band 30 is configured such that distal and proximal coupling elements 32 and 34 push the chordae tendineae to which they are coupled, rather than pull the chordae. In this embodiment, band 30 is typically stiffer than in some other embodiments described herein.

In an embodiment of the present invention, band 30 comprises one or more supporting members configured to support posterior cusp 56. For some applications, the supporting members protrude from the band.

Reference is made to Figs. 14A-B, which are schematic illustrations of an inflation mechanism 300, in accordance with an embodiment of the present invention. Inflation mechanism 300 comprises an inflatable sheath 310, placed around band 30, and an external source 312 of liquid (fluid or gas) pressure, which is coupled to an interior of sheath 310 via a pressure tube 314. During insertion of band 30, sheath 310 is typically uninflated. After insertion, pressure source 312 inflates sheath 310 by supplying a liquid or gas, such as saline solution, a liquid silicone, a liquid polymer, or a liquid polyurethane. After inflation, sheath 310 is sealed. Such inflation typically increases the support band 30 provides to posterior cusp 56. For some applications, sheath 310 is inflated with a liquid that completely or partially solidifies after inflation, such as into a flexible material.

Figs. 15A-B are schematic illustrations of another inflation technique, in accordance with an embodiment of the present invention. In this embodiment, band 30 is itself inflatable, and sheath 310 need not be provided. The interior of band 30 is in fluid communication with external pressure source 312 via pressure tube 314. This embodiment may employ techniques described hereinabove with reference to Figs. 14A-B, *mutatis mutandis*.

Fig. 16 is a schematic illustration of a configuration of band 30, in accordance with an embodiment of the present invention. In this embodiment, band 30 is inflatable,

such as by using one of the inflation techniques described hereinabove with reference to Figs. 14A-B or 15A-B. For some applications, inflatable band 30 comprises neither distal coupling element 32 nor proximal coupling element 34, nor any other anchoring means at either the distal or proximal ends of the band. (Alternatively, for some applications, band  
5 30 comprises anchoring means at only a single one of its ends.) After the band is placed around all or a portion of posterior cusp 56, the band is inflated and sealed, causing the band to press against posterior cusp 56, chordae tendineae 74 (or, alternatively, the second-order chordae tendineae), and ventricular wall 70, thereby applying pressure to and supporting the posterior cusp, and typically holding band 30 in place.

10 Reference is made to Figs. 17A-B, which are schematic illustrations of a stent 350 adapted for treatment of mitral valve 58, in accordance with an embodiment of the present invention. Stent 350 is adapted to be placed around between 90 and 270 degrees of mitral valve 58, including around at least a portion of posterior cusp 56. For some applications, stent 350 is adapted to be placed around between 120 and 240 degrees, such as between  
15 150 and 210 degrees, e.g., about 180 degrees, of the mitral valve. For some applications, stent 350 is adapted to be placed substantially only around all or a portion of posterior cusp 56, i.e., substantially not around any portion of anterior cusp 59. For example, the length of stent 350 surrounding posterior cusp 56 is typically at least about 5 or at least about 10 times the length of any portion of stent 350 that may be surrounding anterior  
20 cusp 59.

Typically, stent 350, while in a contracted position, as shown in Fig. 17A, is placed in the space defined by ventricular wall 70 of left ventricle 72, a ventricular surface of posterior cusp 56 in a vicinity of annulus 60, and chordae tendineae selected from third-order chordae tendineae 74 and second-order chordae tendineae. Once in its desired  
25 position, stent 350 is expanded by inflating an inflation element 360 positioned within the stent. Inflation element 360 is removed, leaving stent 350 in place. Expansion of stent 350 applies pressure to and supports posterior cusp 56, and typically holds the stent in place.

For some applications, one or both ends of stent 350 are anchored in place by  
30 distal and/or proximal coupling elements (not shown in Figs. 17A-B, but similar to distal and proximal coupling elements 32 and 34, as described hereinabove). Typically, the distal and proximal coupling elements are coupled to first and second chordae tendineae,

respectively, each of which is selected from the group consisting of: one of third-order chordae 74, a first-order chorda tendinea that inserts on a commissural cusp 78 of mitral valve 58 (shown in Fig. 2), and a second-order chorda tendinea (not shown in the figures).

For some applications, a plurality of stents 350 are placed around mitral valve 58.

5 The plurality of stents are typically arranged in series, and are optionally coupled to one another.

In an embodiment of the present invention, a method is provided for removing band 30 after it has been coupled to the chordae tendineae. The method comprises inserting a catheter or other elongated element into the heart, typically via ascending aorta  
10 82, and navigating the catheter around the chordae tendineae in a direction opposite to the direction in which band 30 was navigated around the chordae during insertion of the band. When the catheter reaches the distal end of band 30, the catheter is coupled to the distal end. The catheter is then withdrawn, such that band 30 is pulled in the same direction as during the band's initial insertion. For example, if band 30 is initially inserted in a  
15 counterclockwise direction around the mitral valve as viewed from the left atrium, the catheter is inserted in a clockwise direction, and the catheter is withdrawn, pulling band 30, in a counterclockwise direction. Typically, before removing band 30, proximal coupling element 34 is removed from the band. For some applications, distal stop 100 is magnetic, and the catheter is magnetically coupled to the distal stop.

20 It will be appreciated that whereas band 30 has been generally described herein as comprising a single band, the scope of the present invention is not limited to a single band, and includes the use of multiple bands in series and/or in parallel with one another.

Fig. 18 is a schematic illustration of a mitral valve treatment outward force applicator 430 placed in heart 50, which is viewed from above the heart with the atria  
25 removed, in accordance with an embodiment of the present invention. Force applicator 430 is typically flexible, and, for some applications, is generally elliptical in cross-section (e.g., circular), while for other applications is flat, e.g., ribbon-shaped. Force applicator 430 typically comprises a biocompatible material, such as a polymer or metal. For some applications, force applicator 430 is coated with a drug and/or a radiopaque coating.

30 Force applicator 430 is adapted to be placed around between 90 and 270 degrees of mitral valve 58 of heart 50, in a vicinity of annulus 60 of mitral valve 58. The ends of force applicator 430 are typically, but not necessarily, positioned in a vicinity of

commissural cusps 78 of mitral valve 58. Force applicator 430 is configured such that at least two regions thereof (typically end regions 462) apply force, symbolically indicated by arrows 464, to a wall 466 of a heart chamber in a vicinity of commissural cusps 78. The heart chamber is the left ventricle and/or the left atrium. Such outwardly-applied  
5 force draws commissural cusps 78 away from one another, stretching mitral valve 58 and thereby bringing posterior cusp 56 and anterior cusp 59 of mitral valve 58 closer to one another.

For some applications, force applicator 430 is adapted to be placed around between 120 and 240 degrees, such as between 150 and 210 degrees, e.g., about 180  
10 degrees, of mitral valve 58. For some applications, force applicator 430 is adapted to be placed substantially only around all or a portion of posterior cusp 56, i.e., substantially not around any portion of anterior cusp 59. For example, for these applications, the length of force applicator 430 surrounding posterior cusp 56 may be at least about 5 or at least about 10 times the length of any portion of force applicator 430 that may be surrounding  
15 anterior cusp 59. For other applications, force applicator 430 is adapted to be placed around all or a portion of anterior cusp 59, and not necessarily around any portion of posterior cusp 56.

Reference is made to Figs. 19A and 19B, which are schematic illustrations of mitral valve 58 before and after placement of force applicator 430, respectively, in  
20 accordance with an embodiment of the present invention. Fig. 19A shows mitral valve 58 during systole, prior to the placement of force applicator 430. Posterior cusp 56 and anterior cusp 59 are slightly separated from one another, causing mitral valve regurgitation. Fig. 19B shows force applicator 430 placed around posterior cusp 56, and applying outward force (indicated by arrows 464) on a wall 468 of a heart chamber. Such  
25 outwardly-applied force draws commissural cusps 78 away from one another, stretching mitral valve 58 and thereby forcing posterior cusp 56 and anterior cusp 59 of mitral valve 58 closer to one another, and thereby preventing regurgitation. (Broken lines 469 show the shape of annulus 60 prior to placement of force applicator 430, for the sake of comparison with Fig. 19A.)

30 Reference is made to Fig. 20, which is a schematic illustration of a portion of force applicator 430 placed around a portion of posterior cusp 56, in accordance with an embodiment of the present invention. For some applications, force applicator 430 is

placed in the space defined by ventricular wall 70 of left ventricle 72, a ventricular surface of posterior cusp 56 in a vicinity of annulus 60, and third-order chordae tendineae 74 (also called tertiary or basal chordae). (Third-order chordae 74, of which only two of many are shown in the figure for clarity of illustration, originate directly from the trabeculae carneae of ventricular wall 70, and attach to posterior cusp 56 in a vicinity of annulus 60.)  
5 Alternatively or additionally, the space is defined by second-order chordae tendineae (not shown in the figure). Further alternatively, force applicator 430 is adapted to be placed in a left atrium of the heart, in contact with or in a vicinity of mitral valve 58 (configuration not shown).

10 Reference is made to Figs. 21A and 21B, which are schematic illustrations of mitral valve 58 before and after expansion of force applicator 430, respectively, in accordance with an embodiment of the present invention. Fig. 21A shows mitral valve 58 during systole, immediately after placement of force applicator 430, but prior to the outward expansion of the force applicator. Force applicator 430 is configured and placed  
15 such that there is a gap 490 between the force applicator and dilated wall 468 of the heart chamber. Posterior cusp 56 and anterior cusp 59 are slightly separated from one another, causing mitral valve regurgitation. Fig. 21B shows force applicator 430 after outward expansion of ends 462 of the force applicator, as symbolically indicated by arrows 492. Such outwardly-applied force, in addition to drawing commissural cusps 78 away from  
20 one another, draws heart wall 468 closer to force applicator 430 in a vicinity of gap 490. Such tightening of wall 468 against force applicator 430 may occur immediately upon outward expansion of force applicator 430, or may occur over time, e.g., within about one month of expansion of force applicator 430, as the heart adapts to and heals because of the placement of the force applicator.

25 In an embodiment of the present invention, a surface of force applicator 430 opposite heart wall 468 is configured to enhance fibrosis between the force applicator and the heart wall. For example, the surface may be roughened, and/or coated with a fibrosis-enhancing substance. The fibrosis holds tissue of the heart wall against the force applicator, thereby helping prevent future dilation of the heart. Thus, force applicator 430  
30 actively changes the shape of the heart around the mitral valve, and the fibrosis helps maintain this new shape.



Reference is made to Fig. 22, which is a schematic illustration of a configuration of force applicator 430, in accordance with an embodiment of the present invention. In this configuration, force applicator 430 comprises or is shaped to define one or more protruding extensions 94 at one or both ends 462 of the force applicator. The extensions  
5 increase the outward pushing of the force applicator on the heart wall.

Reference is made to Fig. 23, which is a schematic illustration of another configuration of force applicator 430, in accordance with an embodiment of the present invention. In this configuration, force applicator 430 comprises or is shaped to define one or more coupling elements, which are adapted to hold the force applicator in place after  
10 placement. For some applications, the coupling elements comprise one or more protrusions 496, oriented on the force applicator such that the protrusions contact and grip the wall of the heart. Typically, protrusions 496 are positioned in a vicinity of ends 462 of force applicator 430, and/or along the length of the force applicator, such as in a vicinity of a middle of the force applicator. For some applications, protrusions 496 are  
15 positioned at the ends of protruding extensions 494, described hereinabove with reference to Fig. 22 (configuration not shown). For other applications, the coupling elements are adapted to be coupled to the mitral valve, and/or to one or more chordae tendineae, such as third-order chordae tendineae 74, or second-order chordae tendineae (not shown in the figures). For these applications, the coupling elements may comprise, for example,  
20 hooks, sutures, or staples (configuration not shown).

Reference is made to Fig. 24, which is a schematic illustration of a procedure for introducing force applicator 430 into heart 50 of a subject, in accordance with an embodiment of the present invention. Typically, force applicator 430 is introduced into ventricle 72 by a catheter 481, which is typically introduced into ventricle 72 via  
25 ascending aorta 82. A surgeon typically guides force applicator 430 to a desired location using images of heart 50 captured using techniques known in the art. Alternatively or additionally, the surgeon employs an echocardiogram to determine if placement of force applicator 430 achieves closure of the mitral valve. For some applications, force applicator 430 is adjustable during placement, for example, as described hereinbelow with  
30 reference to Fig. 26 and/or Fig. 27. For such applications, if the device does not sufficiently close the valve, the surgeon may adjust the device *in situ* until the valve is sufficiently closed.

For some applications, force applicator 430 comprises, e.g., at a distal end thereof, one or more wireless position sensors, such as those manufactured by Biosense, Inc. (New Brunswick, NJ), or otherwise known in the art. Alternatively or additionally, force applicator 430 comprises, e.g., at a distal end thereof, one or more sensors for aiding navigation, such as an ultrasound sensor, an infrared sensor, or an optical sensor. For some applications, force applicator 430 comprises a steering mechanism, such as those known in the art of coronary catheter navigation. For some applications, the surgeon magnetically navigates force applicator 430, such as using techniques described in US Patents 6,817,364 or 6,522,909 to Garibaldi et al., or US Patent 6,475,223 to Werp et al., which are incorporated herein by reference, *mutatis mutandis*.

Reference is made to Fig. 25, which is a schematic illustration of a distal end of catheter 481, in accordance with an embodiment of the present invention. In this embodiment, the distal end of catheter 481 opens laterally, rather than at its end, so as to guide force applicator 430 towards mitral valve 58 after the catheter is inserted into left ventricle 72.

Reference is made to Fig. 26, which is a schematic illustration of an adjustment mechanism 500 for force applicator 430, in accordance with an embodiment of the present invention. Adjustment mechanism 500 comprises a center wheel 502, which is configured to move ends 462 of force applicator 430 closer and farther from one another, in a manner similar to a center wheel of a draftsman's compass.

Reference is made to Fig. 27, which is a schematic illustration of another adjustment mechanism 520 for force applicator 430, in accordance with an embodiment of the present invention. Adjustment mechanism 520 comprises one or more screws 522 in a vicinity of one or both ends 462 of force applicator 430. Rotation of screws 522 in one direction increases the pushing of end 462 into the heart wall, while rotation of the screws in the opposite direction decreases such pushing. For some applications, force applicator 430 comprises both adjustment mechanism 520 and adjustment mechanism 500, described hereinabove with reference to Fig. 26.

It will be appreciated that whereas force applicator 430 has been generally described herein as comprising a single force applicator, the scope of the present invention is not limited to a single force applicator, and includes the use of multiple force applicators in series and/or in parallel with one another.

It will be appreciated by persons skilled in the art that the present invention is not limited to what has been particularly shown and described hereinabove. Rather, the scope of the present invention includes both combinations and subcombinations of the various features described hereinabove, as well as variations and modifications thereof that are  
5 not in the prior art, which would occur to persons skilled in the art upon reading the foregoing description.

## CLAIMS

1. Apparatus for treating mitral valve regurgitation, comprising:  
a band having distal and proximal ends, the band adapted to be placed:  
around between 90 and 270 degrees of a mitral valve, including  
5 around at least a portion of a posterior cusp of the valve,  
in a space defined by (a) a ventricular wall, (b) a ventricular  
surface of the posterior cusp in a vicinity of an annulus of the mitral valve,  
and (c) a plurality of third-order chordae tendineae; and  
distal and proximal coupling elements, coupled to the band at the distal and  
10 proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea  
and a second chorda tendinea, respectively, each of the first and second chordae tendineae  
selected from the group consisting of: one of the plurality of third-order chordae  
tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the  
mitral valve.
- 15 2. The apparatus according to claim 1, wherein each of the first and second chordae  
tendineae includes one of the plurality of third-order chordae tendineae, and wherein the  
distal and proximal coupling elements are adapted to be coupled to the respective ones of  
the plurality of third-order chordae tendineae.
3. The apparatus according to claim 1, wherein the distal and proximal coupling  
20 elements are adapted to be coupled to the first and second chordae tendineae such that the  
band tightens the annulus of the valve.
4. The apparatus according to claim 1, wherein the distal and proximal coupling  
elements are adapted to be coupled to the first and second chordae tendineae such that the  
band applies pressure to the posterior cusp.
- 25 5. The apparatus according to claim 1, wherein the distal and proximal coupling  
elements are adapted to be coupled to the first and second chordae tendineae such that the  
band applies substantially no pressure to an anterior cusp of the valve.
6. The apparatus according to claim 1, wherein the distal and proximal coupling  
elements are adapted to be coupled to the first and second chordae tendineae such that the  
30 band does not squeeze an anterior cusp of the valve and the posterior cusp together.

7. The apparatus according to claim 1, wherein the distal and proximal coupling elements comprise respective hooks, adapted to be hooked to the first and second chordae tendineae, respectively.
8. The apparatus according to claim 1, wherein the band is generally elliptical in cross-section.
9. The apparatus according to claim 1, wherein the band is flat.
10. The apparatus according to claim 1, wherein the band comprises at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor.
11. The apparatus according to claim 1, wherein the band comprises a steering mechanism.
12. The apparatus according to claim 1, wherein the band comprises a shape memory alloy, and wherein the shape memory alloy causes the band to assume a curved shape during placement of the band.
13. The apparatus according to claim 1, wherein the band comprises a tension element, adapted to shorten a length of the band.
14. The apparatus according to claim 1, wherein at least a portion of the band comprises an elastic material, adapted to shorten a length of the band.
15. The apparatus according to claim 1, wherein the band is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating.
16. The apparatus according to claim 1, wherein the band is adapted to be placed around no portion of an anterior cusp of the valve.
17. The apparatus according to claim 1, wherein the band is adapted to be placed such that a length of a first portion of the band that surrounds the at least a portion of the posterior cusp is at least 5 times a total length of that portion of the band that surrounds at least a portion of an anterior cusp of the valve.
18. The apparatus according to claim 1, wherein the band comprises a distal stop, and wherein the distal coupling element is adapted to slide along the band until blocked by the distal stop.

19. The apparatus according to claim 1, wherein the band comprises a plurality of bands, the bands adapted to be placed in series around between 90 and 270 degrees of the mitral valve.
20. The apparatus according to claim 1, comprising an elongated insertion element,  
5 adapted to releasably engage the proximal end of the band.
21. The apparatus according to any one of claims 1-20, wherein the proximal coupling element is adapted to slide along the band and subsequently be locked to the band.
22. The apparatus according to claim 21,  
wherein a portion of the band in a vicinity of the proximal end is shaped so as to  
10 define a first set of teeth,  
wherein an interior surface of the proximal coupling element is shaped so as to define a second set of teeth, and  
wherein the teeth are configured to allow distal advancement of the proximal coupling element along the band, and to not allow proximal retraction of the proximal  
15 coupling element along the band.
23. The apparatus according to claim 21, comprising a crimping mechanism, adapted to be placed around the proximal coupling element and to crimp the proximal coupling element to the band.
24. The apparatus according to claim 23,  
20 wherein a portion of the band in a vicinity of the proximal end is shaped so as to define a first set of teeth,  
wherein an interior surface of the proximal coupling element is shaped so as to define a second set of teeth, and  
wherein the crimping element is adapted to crimp the proximal coupling element  
25 to the band by crimping at least a portion of the second set of teeth to at least a portion of the first set of teeth.
25. The apparatus according to claim 23, wherein the crimping mechanism comprises an inflation element, adapted, upon inflation thereof, to crimp the proximal coupling element to the band.
- 30 26. The apparatus according to claim 21, wherein the band is shaped so as to define one or more indentations therein, and wherein the proximal coupling element comprises a

tension clip, adapted to engage at least one of the indentations, so as to lock the proximal coupling element to the band.

27. The apparatus according to any one of claims 1-20, wherein the band is adapted to be placed around between 120 and 240 degrees of the mitral valve.

5 28. The apparatus according to claim 27, wherein the band is adapted to be placed around between 150 and 210 degrees of the mitral valve.

29. Apparatus for treating mitral valve regurgitation, comprising:

a band having distal and proximal ends, the band adapted to be placed:

around between 90 and 270 degrees of a mitral valve, including

10 around at least a portion of a posterior cusp of the valve,

in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the mitral valve,

and (c) a plurality of second-order chordae tendineae; and

15 distal and proximal coupling elements, coupled to the band at the distal and proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of second-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

20 30. Apparatus for treating mitral valve regurgitation, comprising a band adapted to be placed:

around between 90 and 270 degrees of a mitral valve of a heart,

including around at least a portion of a posterior cusp of the valve,

in a space defined by (a) a ventricular wall, (b) a ventricular

25 surface of the posterior cusp in a vicinity of an annulus of the mitral valve,

and (c) a plurality of third-order chordae tendineae,

the band configured such that inflation thereof applies pressure to the posterior cusp.

31. The apparatus according to claim 30, wherein the band is configured such that  
30 inflation thereof holds the band in place.

32. The apparatus according to claim 30, comprising a liquid for inflating the band, the liquid adapted to at least partially solidify after inflation of the band therewith.
33. The apparatus according to claim 30, wherein the band is not configured to be coupled to any portion of the heart, other than by the inflation thereof.
- 5 34. Apparatus for treating mitral valve regurgitation, comprising a band adapted to be placed:
- around between 90 and 270 degrees of a mitral valve, including  
around at least a portion of a posterior cusp of the valve,  
in a space defined by (a) a ventricular wall, (b) a ventricular  
10 surface of the posterior cusp in a vicinity of an annulus of the mitral valve,  
and (c) a plurality of second-order chordae tendineae,  
the band configured such that inflation thereof applies pressure to the posterior cusp.
35. The apparatus according to claim 34, wherein the band is configured such that  
15 inflation thereof holds the band in place.
36. Apparatus for treating mitral valve regurgitation, comprising:
- a stent, adapted to be placed while in a contracted state around between 90 and  
270 degrees of a mitral valve of a heart, including around at least a portion of a posterior  
cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of  
20 the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order  
chordae tendineae; and  
an inflation element, positioned within the stent, and adapted to expand the stent  
upon inflation, such that the stent applies pressure to the posterior cusp.
37. The apparatus according to claim 36, wherein the stent comprises at least one  
25 coupling element, adapted to be coupled to a chorda tendinea.
38. The apparatus according to claim 36, wherein the stent comprises a plurality of  
stents, adapted to be placed in series around between 90 and 270 degrees of the mitral  
valve.
39. A method for treating mitral valve regurgitation, comprising:



inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

5       coupling a distal end and a proximal end of the band to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

10       40.    The method according to claim 39, wherein each of the first and second chordae tendineae includes one of the plurality of third-order chordae tendineae, and wherein coupling comprises coupling the distal and proximal ends of the band to the respective ones of the plurality of third-order chordae tendineae.

15       41.    The method according to claim 39, wherein coupling comprises tightening the annulus of the valve.

42.    The method according to claim 39, wherein coupling comprises coupling the ends of the band to the first and second chordae tendineae such that the band applies pressure to the posterior cusp.

20       43.    The method according to claim 39, wherein coupling comprises coupling the ends of the band to the first and second chordae tendineae such that the band applies substantially no pressure to an anterior cusp of the valve.

44.    The method according to claim 39, wherein coupling comprises coupling the ends of the band to the first and second chordae tendineae such that the band does not squeeze an anterior cusp of the valve and the posterior cusp together.

25       45.    The method according to claim 39, wherein coupling comprises hooking the proximal and distal ends to the first and second chordae tendineae, respectively.

46.    The method according to claim 39, wherein the band is generally elliptical in cross-section, and wherein inserting the band comprises inserting the band that is generally elliptical in cross-section.

30       47.    The method according to claim 39, wherein the band is flat, and wherein inserting the band comprises inserting the flat band.

48. The method according to claim 39, wherein inserting comprises inserting the band via an ascending aorta.
49. The method according to claim 39, wherein the band includes at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor,  
5 and wherein inserting comprises inserting the band at least in part responsively to a signal generated by the sensor.
50. The method according to claim 39, wherein inserting comprises steering the band.
51. The method according to claim 39, wherein the band includes a shape memory alloy, and wherein the shape memory alloy causes the band to assume a curved shape  
10 during the inserting.
52. The method according to claim 39, wherein the band includes a tension element, adapted to shorten a length of the band, and wherein coupling the band comprises coupling the band including the tension element.
53. The method according to claim 39, wherein at least a portion of the band includes  
15 an elastic material, adapted to shorten a length of the band, and wherein coupling the band comprises coupling the band including the elastic material.
54. The method according to claim 39, wherein the band is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating, and wherein inserting the band comprises inserting the coated band.
- 20 55. The method according to claim 39, wherein inserting comprises inserting the band around no portion of an anterior cusp of the valve.
56. The method according to claim 39, wherein inserting comprises inserting the band such that a length of a first portion of the band that surrounds the at least a portion of the posterior cusp is at least 5 times a total length of that portion of the band that surrounds at  
25 least a portion of an anterior cusp of the valve.
57. The method according to claim 39, wherein the band includes a distal stop and a distal coupling element, and wherein coupling the distal end of the band to the first chorda tendinea comprises:  
advancing the band until the distal stop reaches a vicinity of the first chorda  
30 tendinea;

advancing the distal coupling element over the band until the distal coupling element reaches the distal stop; and

coupling the distal coupling element to the first chorda tendinea.

58. The method according to claim 39, wherein the band includes a plurality of bands,  
5 and wherein inserting the band comprises inserting the plurality of bands in series around between 90 and 270 degrees of the mitral valve.

59. The method according to any one of claims 39-58, wherein the band includes a proximal coupling element, and wherein coupling comprises:

coupling the distal end of the band to the first chorda tendinea;

10 thereafter, sliding the proximal coupling element along the band until the band has a desired length between the distal end and the proximal coupling element; and

locking the proximal coupling element to the band.

60. The method according to claim 59, wherein coupling comprises, after locking the proximal coupling element, clipping a portion of the band proximal to the proximal  
15 coupling element.

61. The method according to claim 59, wherein coupling comprises, after locking the proximal coupling element, releasing the band from an elongated insertion element, and withdrawing the insertion element from the heart.

62. The method according to claim 59,  
20 wherein a portion of the band in a vicinity of the proximal end includes a first set of teeth,

wherein an interior surface of the proximal coupling element includes a second set of teeth,

25 wherein the teeth are configured to allow distal advancement of the proximal coupling element along the band, and to not allow proximal retraction of the proximal coupling element along the band, and

wherein locking the proximal coupling element comprises advancing the proximal coupling element until the band has the desired length.

63. The method according to claim 59, wherein locking the proximal coupling element  
30 comprises crimping the proximal coupling element to the band.

64. The method according to claim 63,

wherein a portion of the band in a vicinity of the proximal end includes a first set of teeth,

wherein an interior surface of the proximal coupling element includes a second set of teeth, and

5        wherein crimping comprises crimping at least a portion of the second set of teeth to at least a portion of the first set of teeth.

65.    The method according to claim 59, wherein the band is shaped so as to define one or more indentations therein, wherein the proximal coupling element includes a tension clip, and wherein locking the proximal coupling element comprises causing the tension  
10   clip to engage at least one of the indentations.

66.    The method according to any one of claims 39-58, wherein inserting comprises inserting the band around between 120 and 240 degrees of the mitral valve.

67.    The method according to claim 66, wherein inserting comprises inserting the band around between 150 and 210 degrees of the mitral valve.

15    68.    A method for treating mitral valve regurgitation, comprising:  
         inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae; and

20        coupling a distal end and a proximal end of the band to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of second-order chordae tendineae, and a first-order chorda tendinea that inserts on a commissural cusp of the mitral valve.

25    69.    A method for treating mitral valve regurgitation, comprising:  
         inserting a band around between 90 and 270 degrees of a mitral valve of a heart, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

30        applying pressure to the posterior cusp by inflating the band.

70. The method according to claim 69, wherein inflating the band comprises inflating the band so as to hold the band in place.

71. The method according to claim 69, wherein inflating the band comprises inflating the band with a liquid that at least partially solidifies after inflation.

5 72. The method according to claim 69, wherein applying the pressure does not comprise coupling either a distal or a proximal end of the band to any portion of the heart, other than by the inflating.

73. A method for treating mitral valve regurgitation, comprising:

10 inserting a band around between 90 and 270 degrees of a mitral valve, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae; and

applying pressure to the posterior cusp by inflating the band.

15 74. The method according to claim 73, wherein inflating the band comprises inflating the band so as to hold the band in place.

75. A method for treating mitral valve regurgitation, comprising:

20 inserting a stent in a contracted state around between 90 and 270 degrees of a mitral valve of a heart, including around at least a portion of a posterior cusp of the valve, in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae; and

applying pressure to the posterior cusp by expanding the stent by inflating an inflation element positioned within the stent.

25 76. The method according to claim 75, wherein inserting the stent comprises coupling at least one of a distal and a proximal end of the stent to at least one respective chorda tendinea.

77. The method according to claim 75, wherein the stent includes a plurality of stents, and wherein inserting the stent comprises inserting the plurality of stents in series.

30 78. Apparatus for treating mitral valve regurgitation, comprising a force applicator adapted to be placed around at least a portion of a mitral valve of a heart, and configured

such that at least two regions of the force applicator apply, to a wall of a chamber of the heart in a vicinity of commissural cusps of the mitral valve, force sufficient to bring a posterior cusp and an anterior cusp of the mitral valve closer to one another than a distance therebetween in the absence of the force applicator.

5 79. The apparatus according to claim 78, wherein the applicator is adapted to be placed in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae.

10 80. The apparatus according to claim 78, wherein the applicator is adapted to be placed in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae.

81. The apparatus according to claim 78, wherein the applicator is adapted to be placed in a left atrium of the heart, in contact with or in a vicinity of the mitral valve.

15 82. The apparatus according to claim 78, wherein the applicator is generally elliptical in cross-section.

83. The apparatus according to claim 78, wherein the applicator is flat.

84. The apparatus according to claim 78, wherein the applicator is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating.

20 85. The apparatus according to claim 78, wherein the applicator is adapted to be placed around no portion of the anterior cusp.

86. The apparatus according to claim 78, wherein the applicator is adapted to be placed such that a length of a first portion of the applicator that surrounds at least a portion of the posterior cusp is at least 5 times a total length of that portion of the applicator that surrounds at least a portion of the anterior cusp.

87. The apparatus according to claim 78, wherein the applicator is configured to enhance fibrosis between at least a portion of the applicator and the wall of the chamber.

25 88. The apparatus according to claim 78, wherein the applicator comprises at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor.

89. The apparatus according to claim 78, wherein the applicator comprises a steering mechanism.
90. The apparatus according to claim 78, wherein the applicator has distal and proximal ends, and wherein the applicator comprises an adjustment mechanism, which is  
5 configured to change a distance between the distal end and the proximal end of the applicator.
91. The apparatus according to claim 78, wherein the applicator is shaped so as to define one or more protrusions, oriented such that the protrusions contact the wall of the chamber of the heart upon placement of the applicator.
- 10 92. The apparatus according to any one of claims 78-91, wherein the applicator comprises one or more coupling elements, adapted to hold the applicator in place around the at least a portion of the valve.
93. The apparatus according to claim 92, wherein the coupling elements are adapted to be coupled to the valve.
- 15 94. The apparatus according to claim 92, wherein the coupling elements are adapted to be coupled to respective chordae tendineae.
95. The apparatus according to any one of claims 78-91, wherein the applicator is adapted to be placed around between 90 and 270 degrees of the mitral valve.
96. The apparatus according to claim 95, wherein the applicator is adapted to be  
20 placed around between 120 and 240 degrees of the mitral valve.
97. The apparatus according to claim 96, wherein the applicator is adapted to be placed around between 150 and 210 degrees of the mitral valve.
98. The apparatus according to any one of claims 78-91, wherein the applicator is configured such that after placement of the applicator, and before the at least two regions  
25 apply the force, there is a gap between the applicator and the wall of the chamber.
99. The apparatus according to claim 98, wherein the applicator is configured such that the force applied by the at least two regions reduces a distance between the applicator and the wall of the chamber in a vicinity of the gap.
100. The apparatus according to claim 99, wherein the applicator is configured such  
30 that the force applied by the at least two regions immediately reduces the distance.

101. The apparatus according to claim 99, wherein the applicator is configured such that the force applied by the at least two regions reduces the distance within one month of application of the force.

5 102. The apparatus according to any one of claims 78-91, wherein the at least two regions include two end regions of the force applicator, and wherein the force applicator is configured such that at least the two end regions apply the force.

103. The apparatus according to claim 102, wherein the applicator comprises at least one screw in a vicinity of at least one of the end regions, the screw configured such that rotation thereof adjust a degree of pushing of the at least one end region into the wall of  
10 the chamber.

104. Apparatus for treating mitral valve regurgitation, comprising a force applicator adapted to be placed around at least a portion of a mitral valve of a heart, and configured to:

15       apply force to a wall of a chamber of the heart, so as to change a shape of the heart around the mitral valve, and

      enhance fibrosis between at least a portion of the force applicator and the heart wall, so as to help maintain the changed shape of the heart.

105. The apparatus according to claim 104, wherein a surface of the at least a portion of the applicator is roughened to enhance fibrosis.

20 106. The apparatus according to claim 104, wherein the at least a portion of the applicator is coated with a fibrosis-enhancing substance.

107. A method for treating mitral valve regurgitation, comprising placing, around at least a portion of a mitral valve of a heart, a force applicator configured such that at least two regions of the force applicator apply, to a wall of a chamber of the heart in a vicinity  
25 of commissural cusps of the mitral valve, force sufficient to bring a posterior cusp and an anterior cusp of the mitral valve closer to one another than a distance therebetween in the absence of the force applicator.

108. The method according to claim 107, wherein placing comprises placing the applicator in a space defined by (a) a ventricular wall, (b) a ventricular surface of the  
30 posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of third-order chordae tendineae.



109. The method according to claim 107, wherein placing comprises placing the applicator in a space defined by (a) a ventricular wall, (b) a ventricular surface of the posterior cusp in a vicinity of an annulus of the valve, and (c) a plurality of second-order chordae tendineae.
- 5 110. The method according to claim 107, wherein placing comprises placing the applicator in a left atrium of the heart, in contact with or in a vicinity of the mitral valve.
111. The method according to claim 107, wherein the applicator is generally elliptical in cross-section, and wherein placing comprises placing the applicator that is generally elliptical in cross-section.
- 10 112. The method according to claim 107, wherein the applicator is flat, and wherein placing comprises placing the flat applicator.
113. The method according to claim 107, wherein the applicator is coated with a substance selected from the group consisting of: a drug, and a radiopaque coating, and wherein placing comprises placing the coated applicator.
- 15 114. The method according to claim 107, wherein placing comprises placing the applicator around no portion of the anterior cusp.
115. The method according to claim 107, wherein placing comprises placing the applicator such that a length of a first portion of the applicator that surrounds at least a portion of the posterior cusp is at least 5 times a total length of that portion of the
- 20 116. The method according to claim 107, wherein placing comprises placing the applicator that surrounds at least a portion of the anterior cusp.
117. The method according to claim 107, wherein the applicator is configured to enhance fibrosis between at least a portion of the applicator and the wall of the chamber, and wherein placing comprises placing the fibrosis-enhancing applicator.
- 25 118. The method according to claim 107, wherein the applicator comprises at least one sensor selected from the group consisting of: a wireless position sensor, and a navigation sensor, and wherein placing comprises placing the applicator at least in part responsively to a signal generated by the sensor.
119. The method according to claim 107, wherein placing comprises steering the applicator.

119. The method according to claim 107, wherein the applicator has distal and proximal ends, and wherein placing the applicator comprises adjusting a distance between the distal end and the proximal end of the applicator.

120. The method according to claim 107, wherein the applicator is shaped so as to  
5 define one or more protrusions, and wherein placing comprises placing the applicator such that the protrusions contact the wall of the chamber of the heart.

121. The method according to any one of claims 107-120, wherein placing comprises coupling the applicator in place around the at least a portion of the valve.

122. The method according to claim 121, wherein coupling comprises coupling the  
10 applicator to the valve.

123. The method according to claim 121, wherein coupling comprises coupling the applicator to at least one chorda tendinea.

124. The method according to any one of claims 107-120, wherein placing comprises placing the applicator around between 90 and 270 degrees of the mitral valve.

15 125. The method according to claim 124, wherein placing comprises placing the applicator around between 120 and 240 degrees of the mitral valve.

126. The method according to claim 125, wherein placing comprises placing the applicator around between 150 and 210 degrees of the mitral valve.

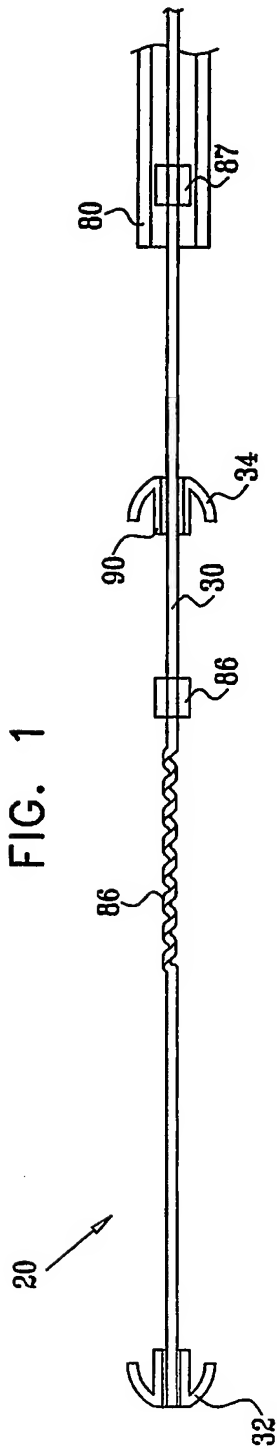
127. The method according to any one of claims 107-120, wherein the applicator is  
20 configured such that after placement of the applicator, and before the at least two regions apply the force, there is a gap between the applicator and the wall of the chamber, and wherein placing comprises placing the applicator such that there is the gap.

128. The method according to claim 127, wherein the applicator is configured such that the force applied by the at least two regions reduces a distance between the applicator and  
25 the wall of the chamber in a vicinity of the gap.

129. The method according to claim 128, wherein the applicator is configured such that the force applied by the at least two regions immediately reduces the distance.

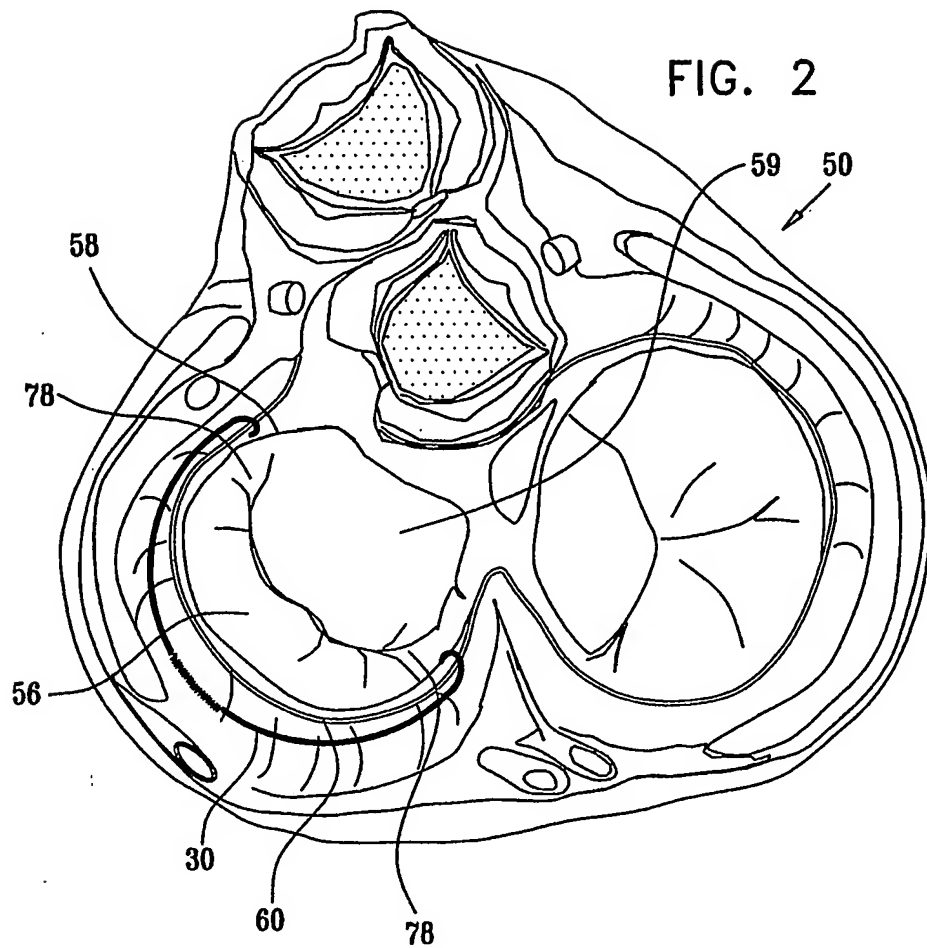
130. The method according to claim 128, wherein the applicator is configured such that the force applied by the at least two regions reduces the distance within one month of  
30 application of the force.

131. The method according to any one of claims 107-120, wherein the at least two regions include two end regions of the force applicator, wherein the force applicator is configured such that at least the two end regions apply the force, and wherein placing comprises placing the applicator configured such that at least the two end regions apply the force.
132. The method according to claim 131, wherein the applicator comprises at least one screw in a vicinity of at least one of the end regions, and wherein placing comprises rotating the screw to adjust a degree of pushing of the at least one end region into the wall of the chamber.
133. A method for treating mitral valve regurgitation, comprising placing, around at least a portion of a mitral valve of a heart, a force applicator configured to apply force to a wall of a chamber of the heart, so as to change a shape of the heart around the mitral valve, and to enhance fibrosis between at least a portion of the force applicator and the heart wall, so as to help maintain the changed shape of the heart.
134. The method according to claim 133, wherein a surface of the at least a portion of the applicator is roughened to enhance fibrosis, and wherein placing comprises placing the applicator having the roughened surface.
135. The method according to claim 133, wherein the at least a portion of the applicator is coated with a fibrosis-enhancing substance, and wherein placing comprises placing the coated applicator.



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FIG. 2



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FIG. 3

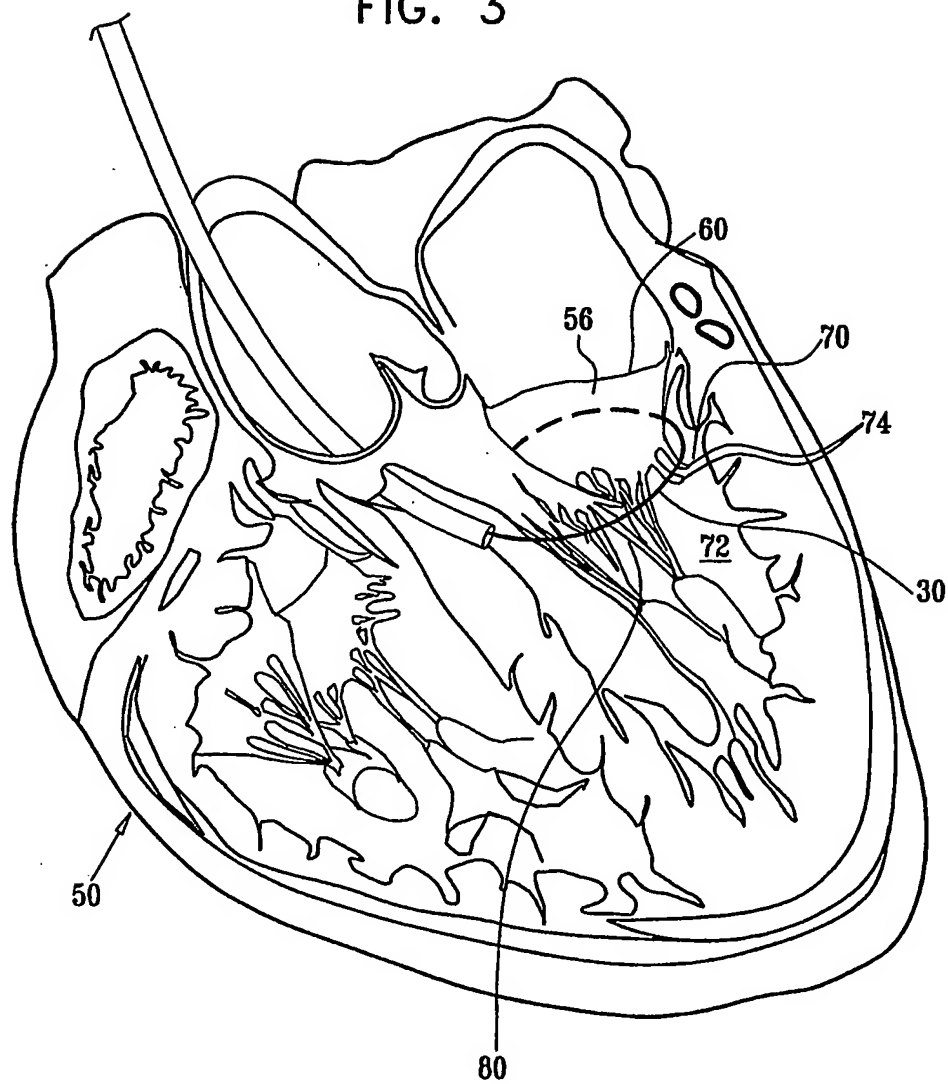
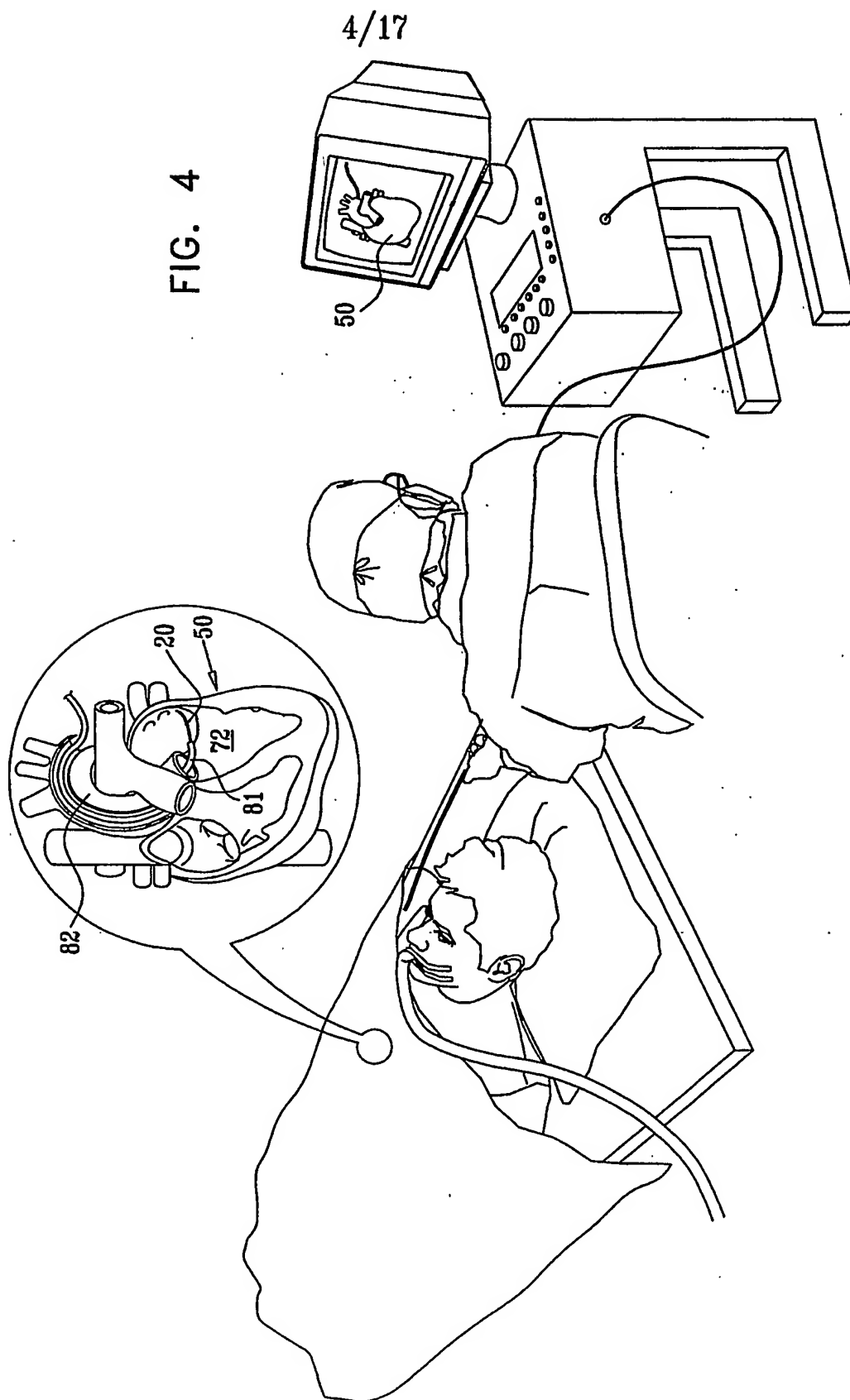


FIG. 4



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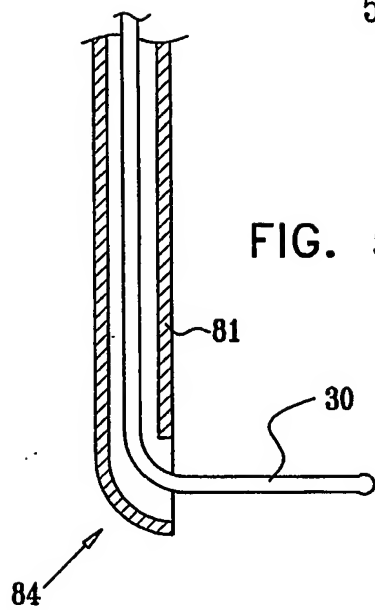


FIG. 6A

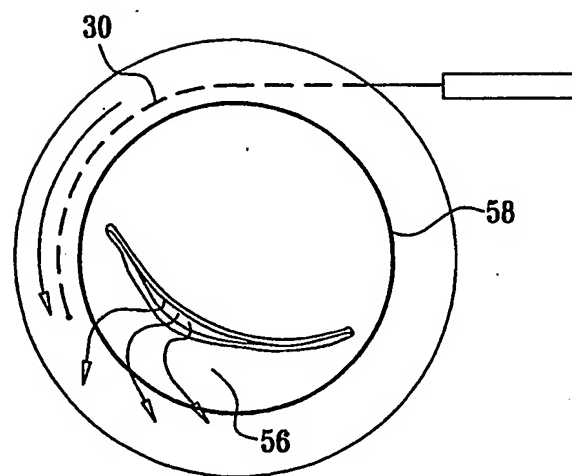
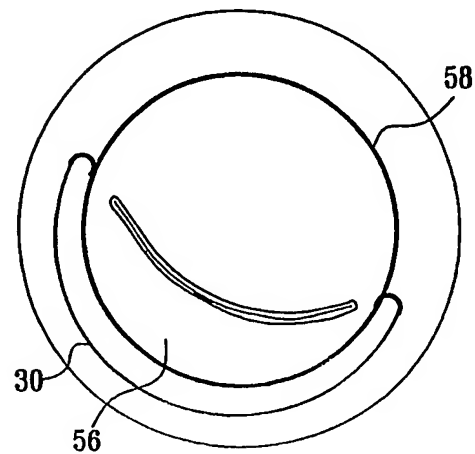
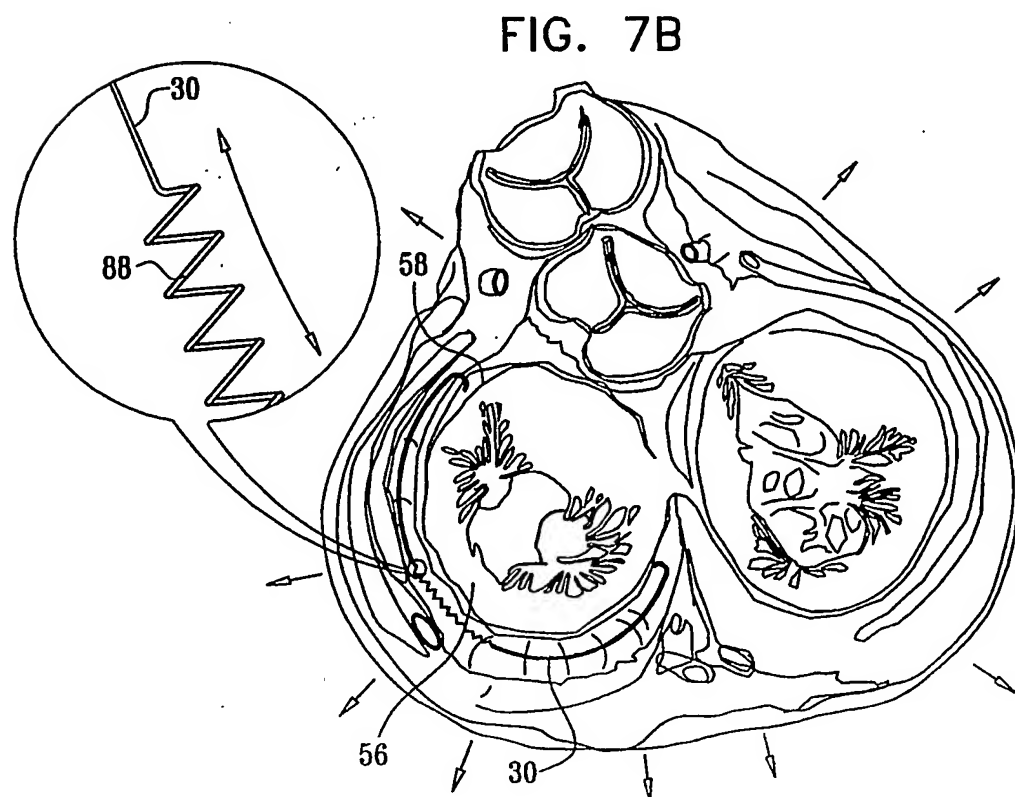
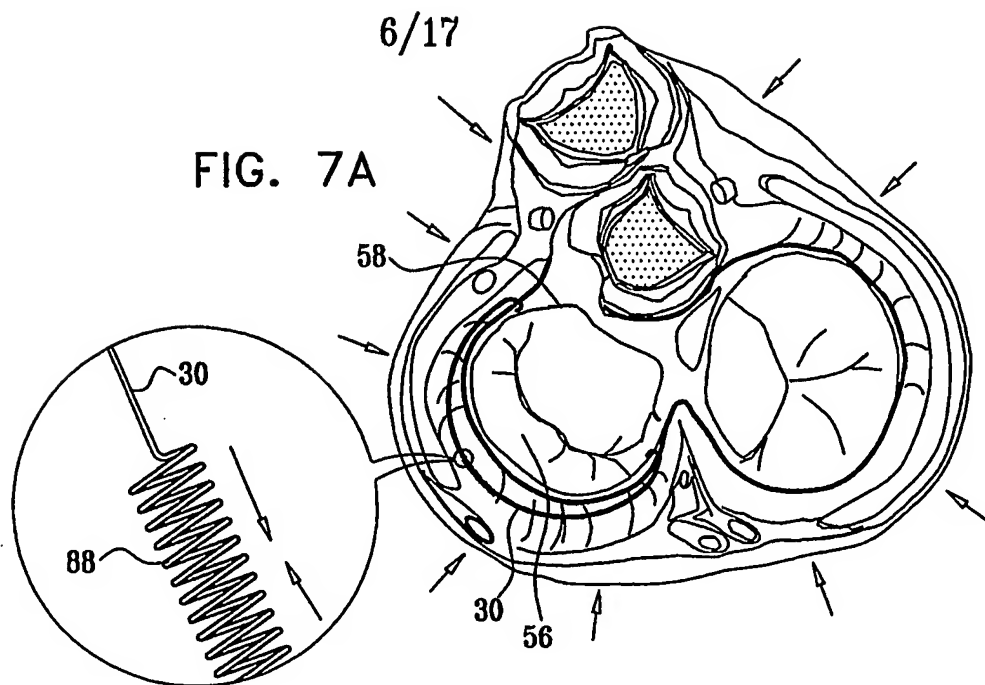


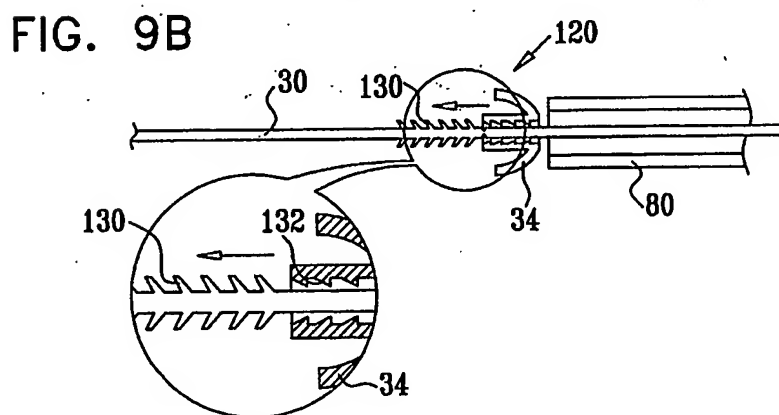
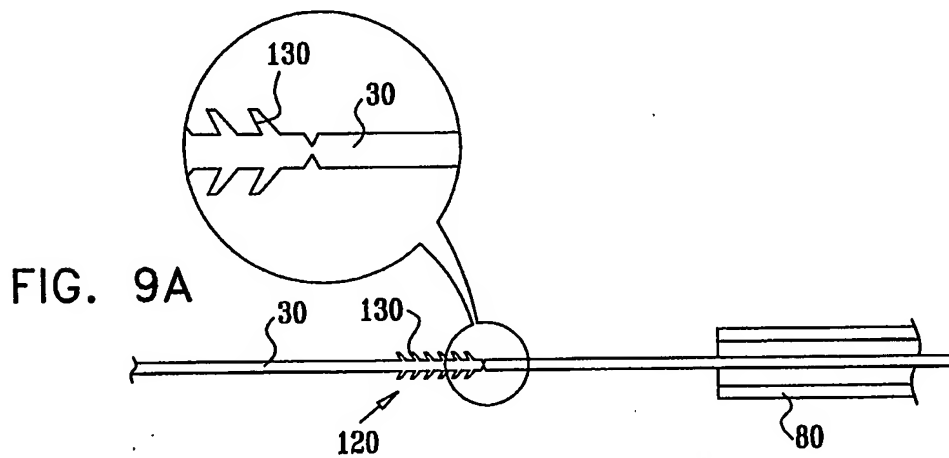
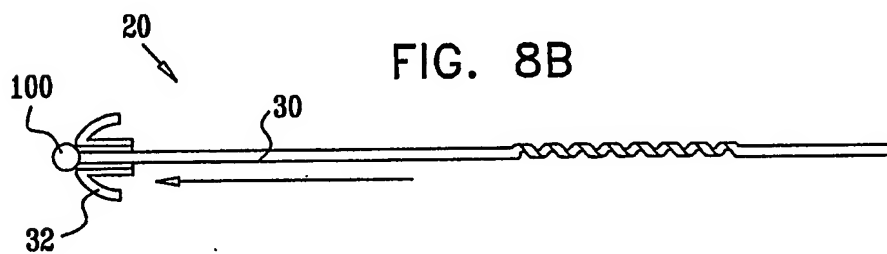
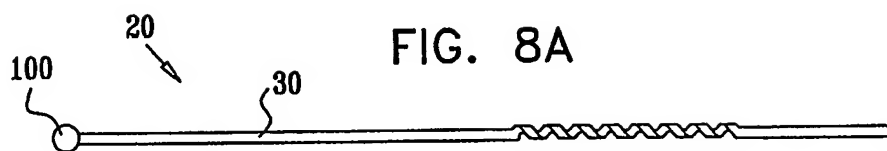
FIG. 6B



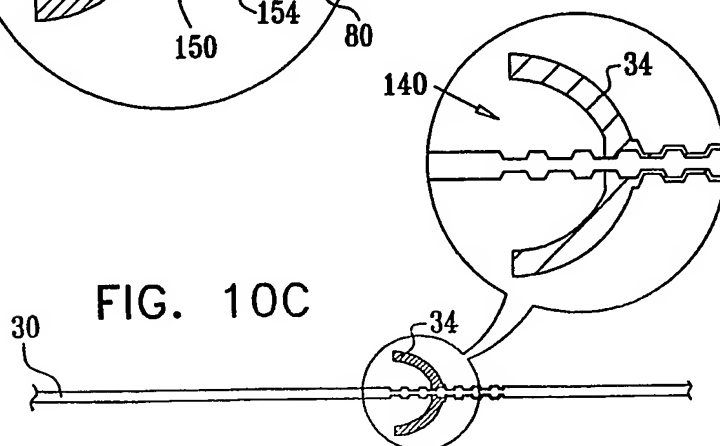
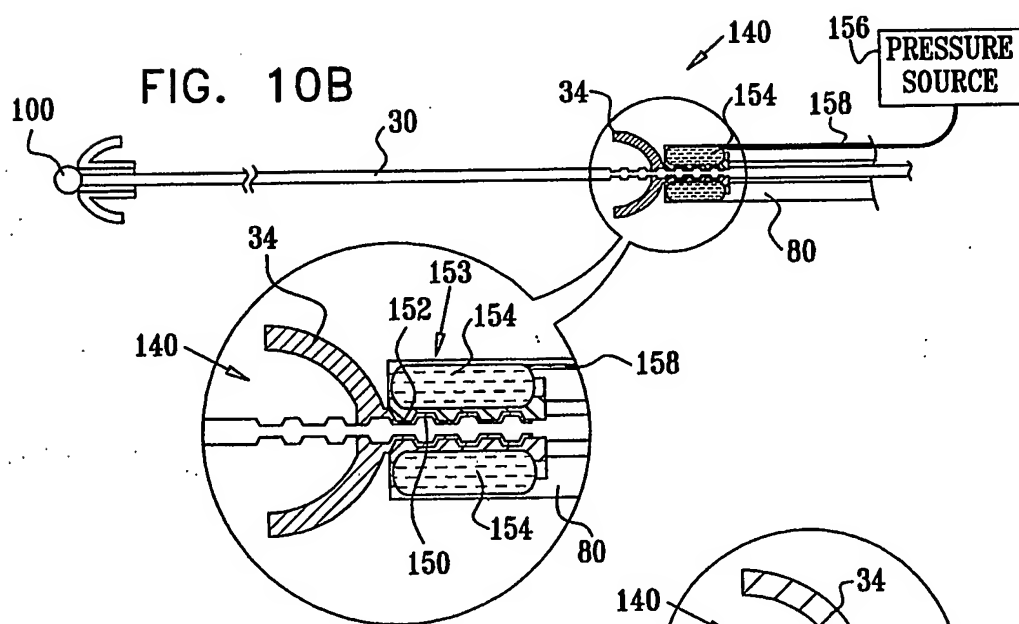
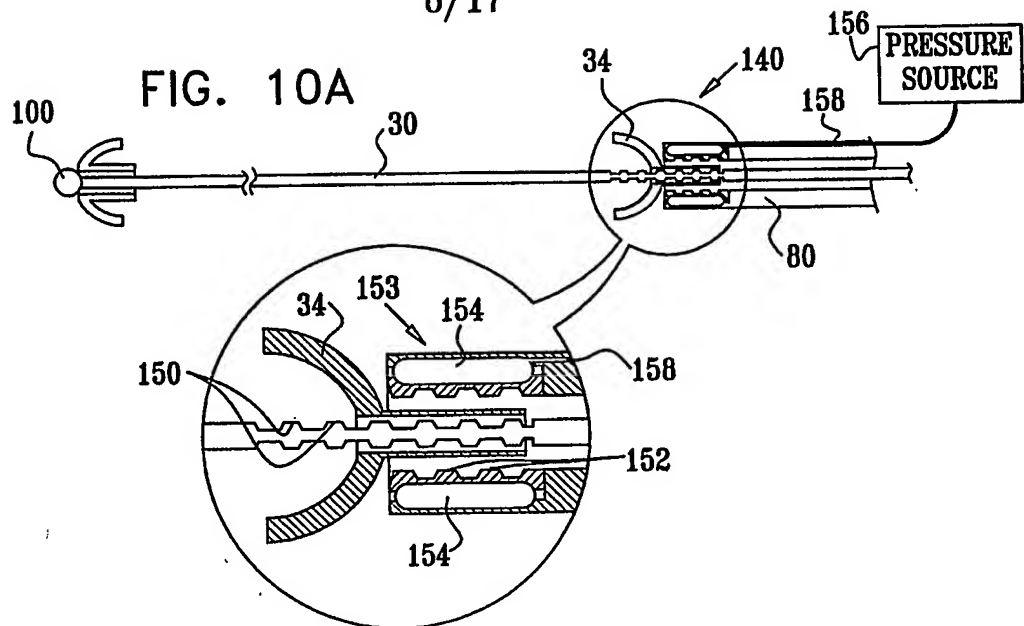




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FIG. 11A

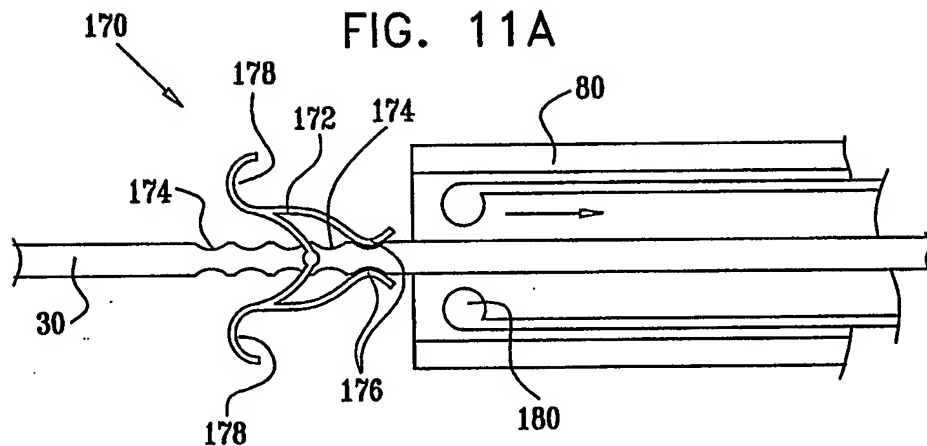
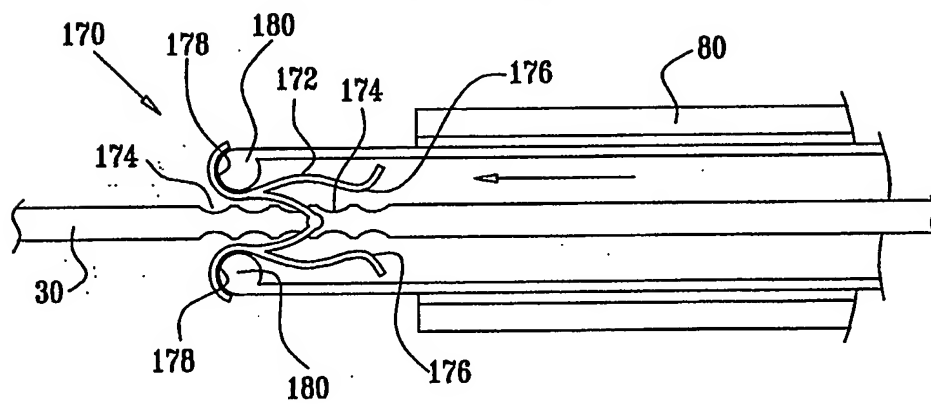


FIG. 11B



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FIG. 12A

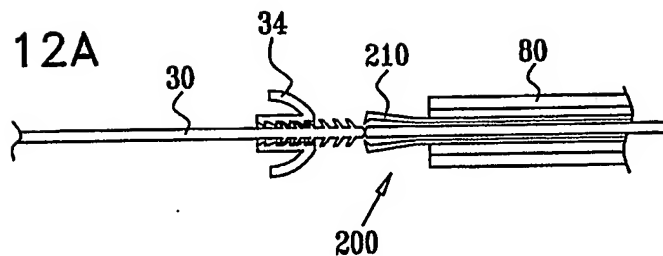


FIG. 12B

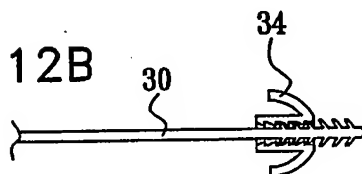


FIG. 13A

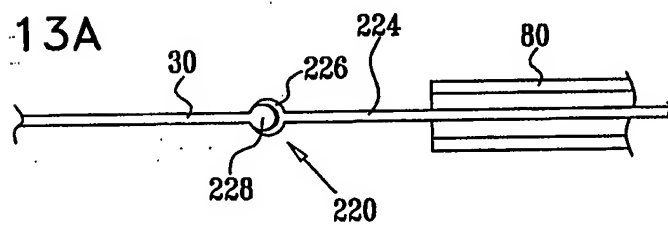
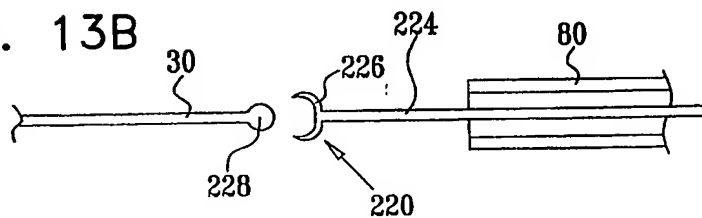
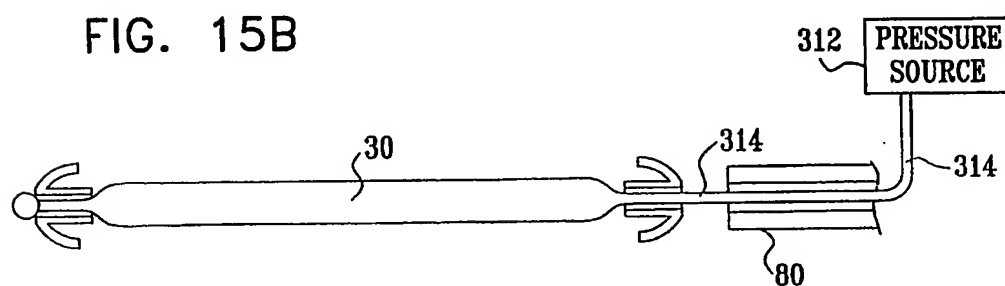
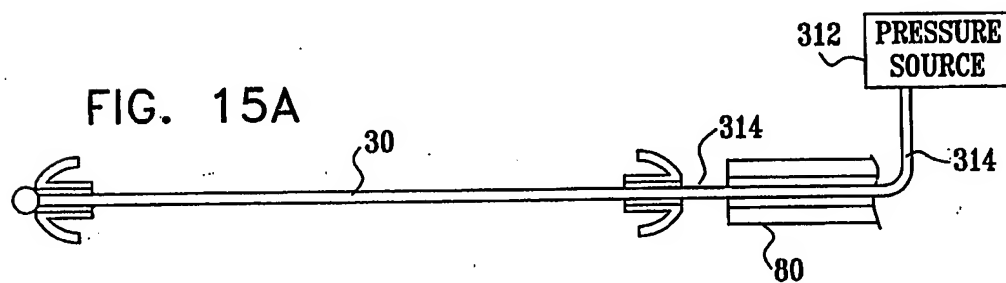
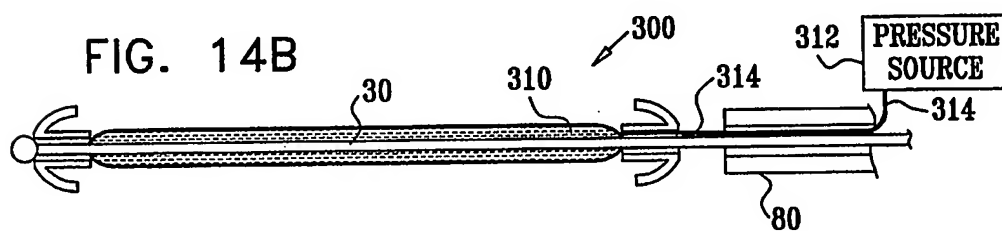
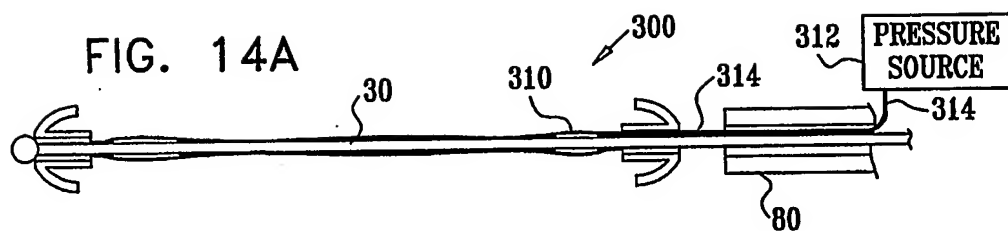


FIG. 13B



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FIG. 16

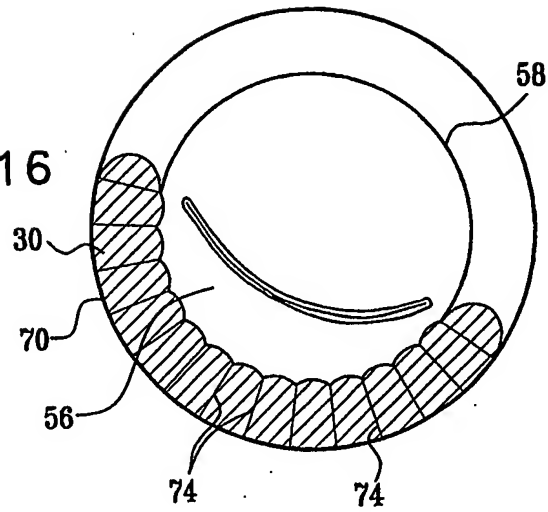


FIG. 17A

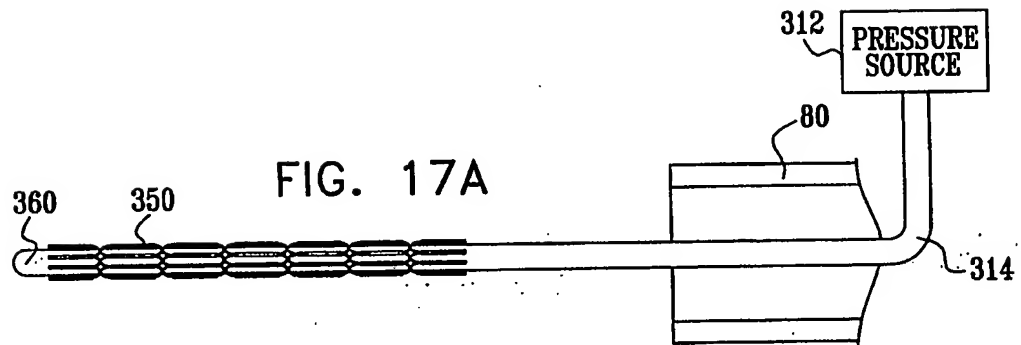
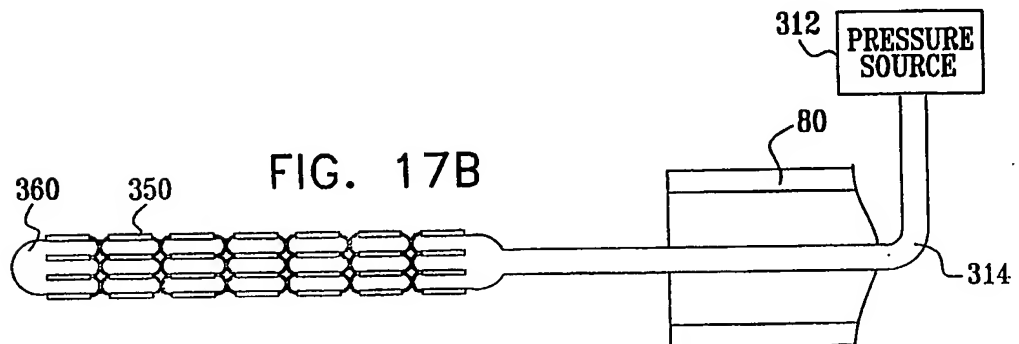
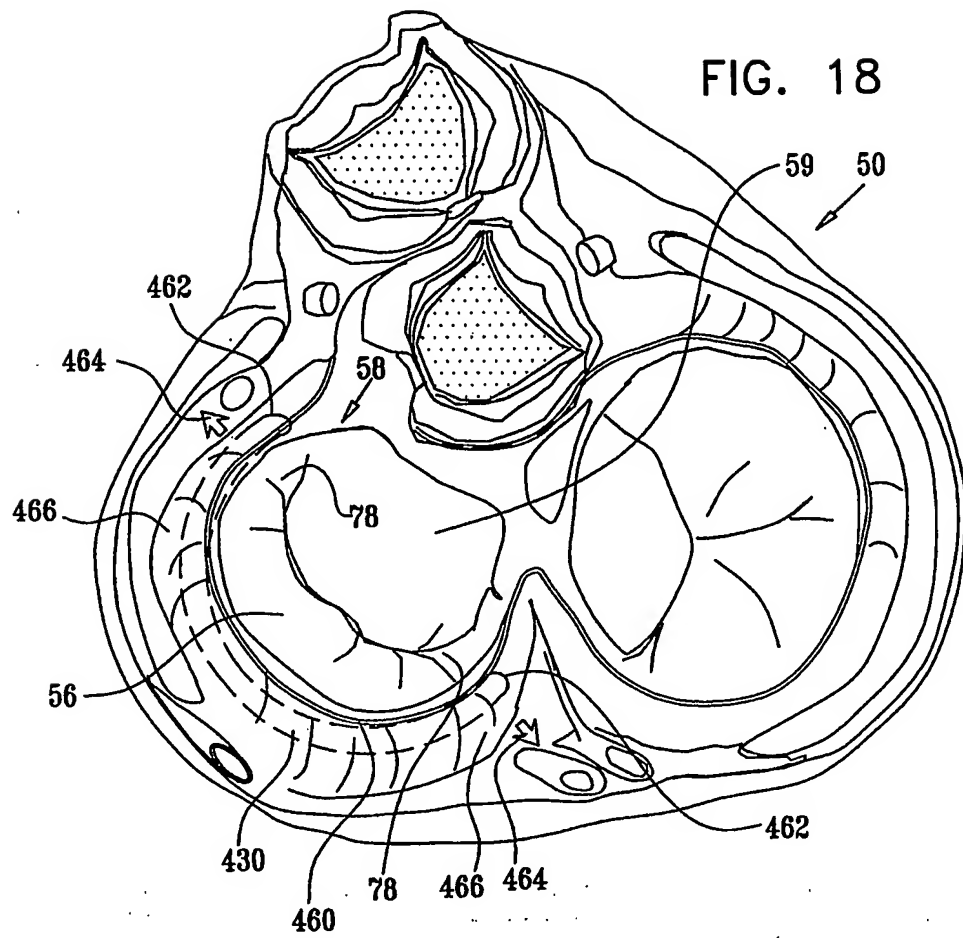


FIG. 17B



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FIG. 18





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FIG. 19A

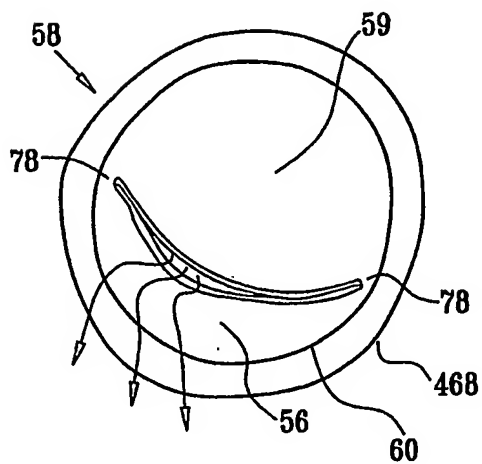


FIG. 19B

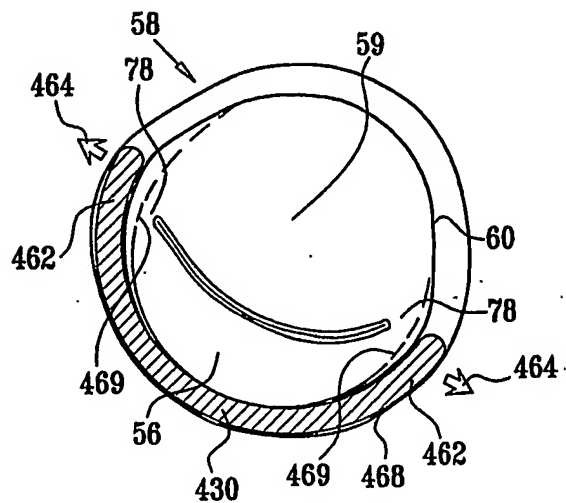
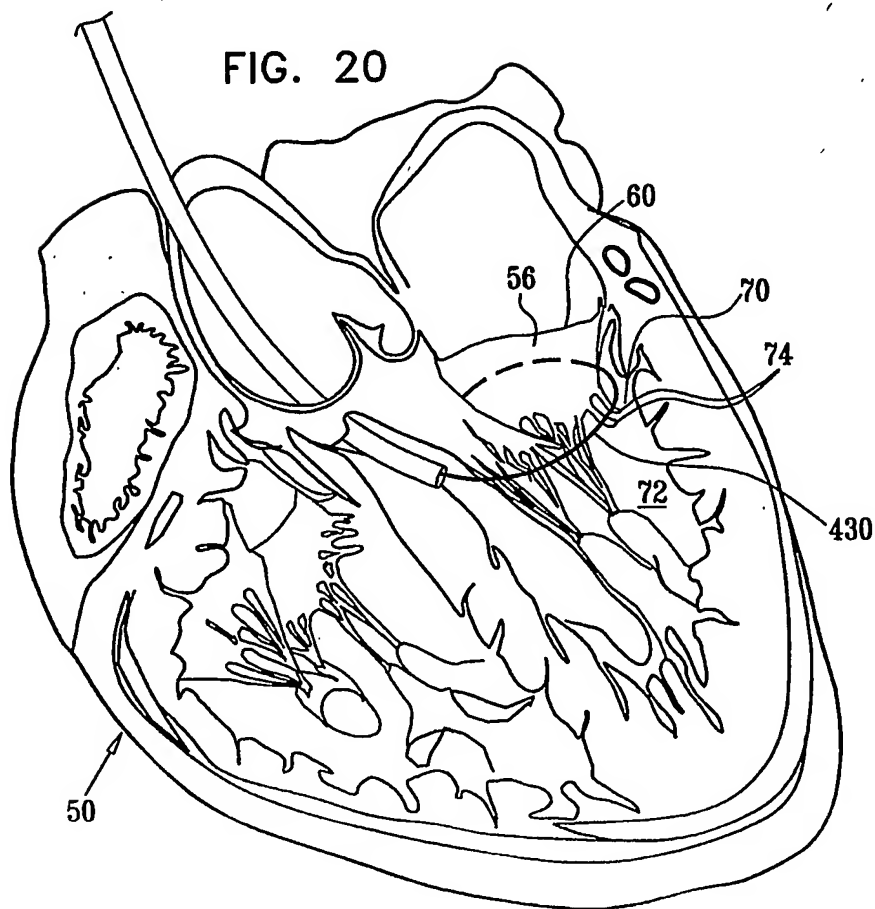


FIG. 20



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FIG. 21A

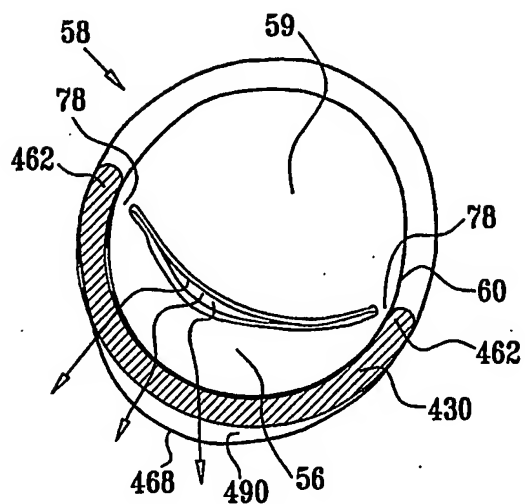


FIG. 21B

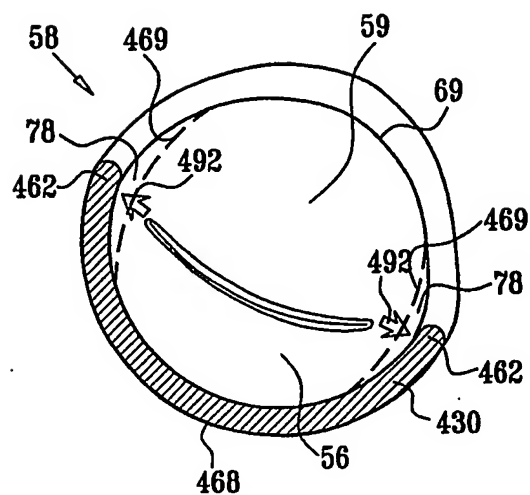


FIG. 22

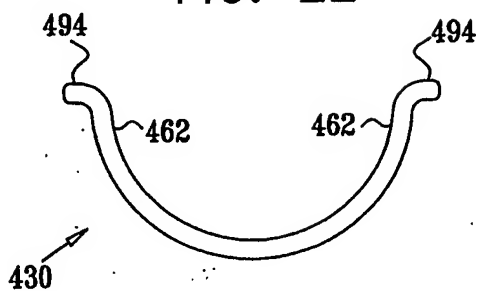
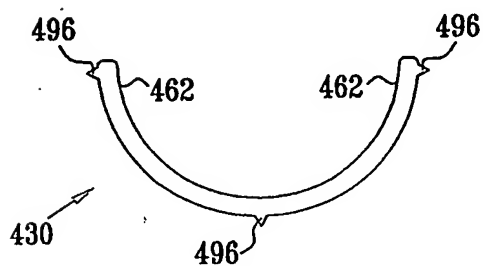
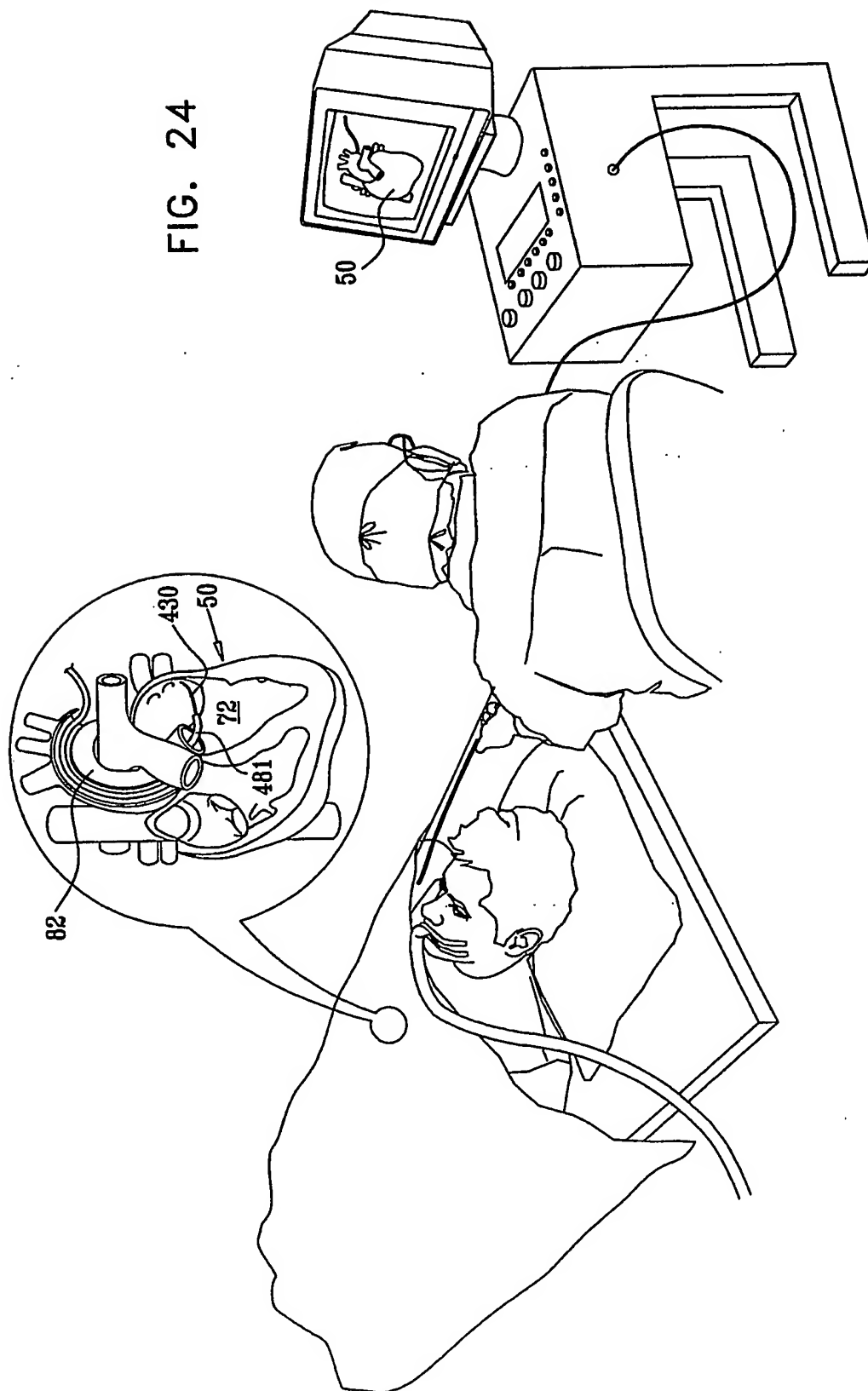


FIG. 23



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FIG. 24



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FIG. 25

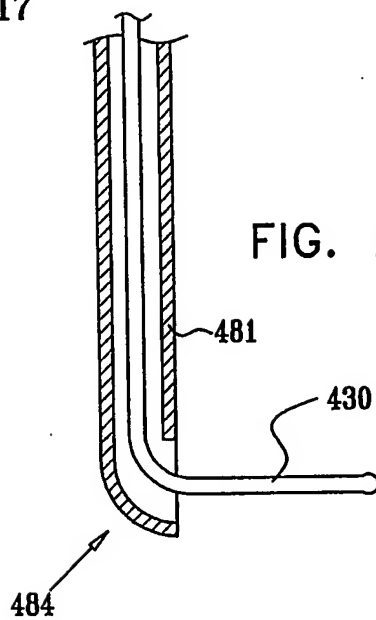


FIG. 26

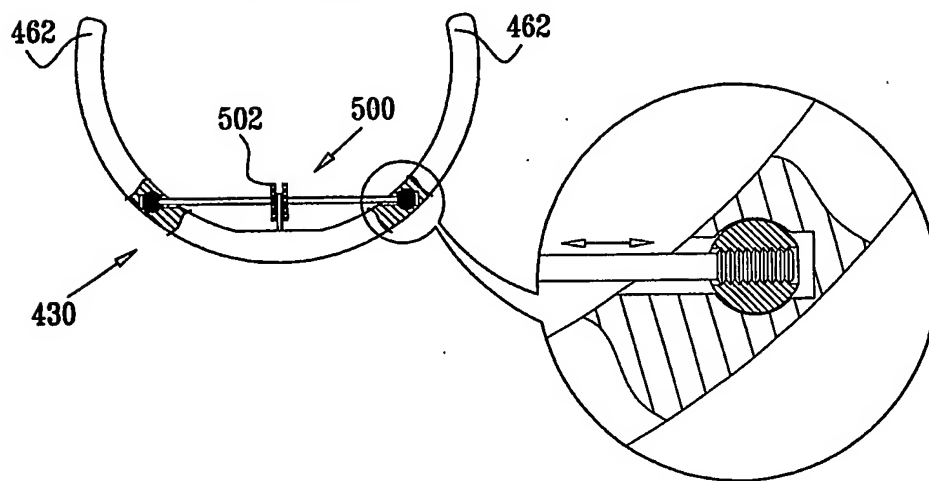
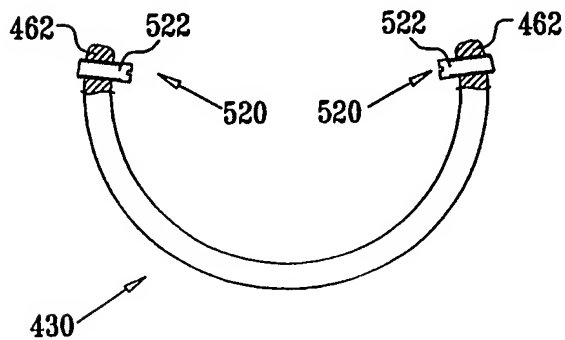


FIG. 27



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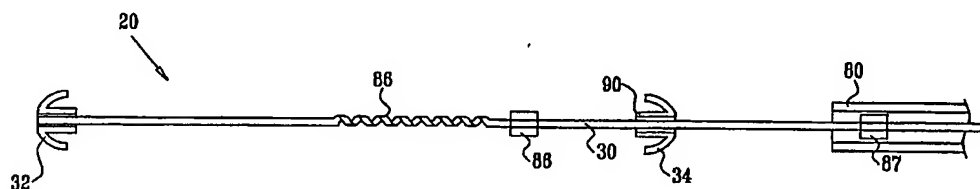
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(54) Title: MITRAL VALVE TREATMENT TECHNIQUES



(57) Abstract: Apparatus (20) is provided for treating mitral valve regurgitation, including a band (30) having distal and proximal ends, the band (30) adapted to be placed: around between 90 and 270 degrees of a mitral valve (58), including around at least a portion of a posterior cusp (56) of the valve (58), in a space defined by (a) a ventricular wall (70), (b) a ventricular surface of the posterior cusp (56) in a vicinity of an annulus (60) of the mitral valve (58), and (c) a plurality of third-order chordae tendineae (74). The apparatus (20) further includes distal and proximal coupling elements (32, 34), coupled to the band (30) at the distal and proximal ends thereof, respectively, and adapted to be coupled to a first chorda tendinea and a second chorda tendinea, respectively, each of the first and second chordae tendineae selected from the group consisting of: one of the plurality of third-order chordae tendineae (74), and a first-order chorda tendinea that inserts on a commissural cusp (78) of the mitral valve (58). Additional embodiments are also described.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/L06/00342

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC: A61F 2/06( 2006.01)  USPC: 623/2.36 According to International Patent Classification (IPC) or to both national classification and IPC		
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A	US 2003/0233142 A1 (Morales et al) 18 December 2003 (18.12.2003), see entire document	1-135
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